

# Swiss National Joint Registry

SIRIS Report 2012–2016 Annual Report of the Swiss National Joint Registry, Hip and Knee









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## Hip and knee replacement results

SIRIS Report 2012–2016 Annual Report of the Swiss National Joint Registry, Hip and Knee

SIRIS – Foundation for Quality Assurance in Implant Surgery swiss orthopaedics - Swiss Society of Orthopaedics and Traumatology

ANQ - National Association for the Development of Quality in Swiss Hospitals and Clinics

SwissRDL – Medical Registries and Data Linkage, Institute of Social and Preventive Medicine, University of Bern









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### Preface

#### Implant registry – what is it for?

More than ever before, it has become an imperative of our time to measure quality and outcome in modern health-care systems as the costs are rising continuously and regulative measures based on evidence are in demand.

To make the quality assessment as objective as possible and a base of comparison for different treatment modalities, registries are one of the tools to allow a standardized evaluation of a large number of patients. Ideally, such registry platforms are run by independent institutions like University institutes, since many currently available databases contain clinical data which are individually programmed and are based on a wide variety of validated and non-validated measurement tools, which make the data comparison difficult or impossible. Compulsory centralized registries run and evaluated by experts with an academic research background are a solution to this problem.

By collecting information about both standard (comparator) and more innovative medical methods in the registry, it is possible to make an objective assessment of the results. Furthermore, an implant registry is also an early warning system to recognize implants that are rejected as a result of production or design faults. As this is a very rare occurrence due to the quality of modern implants, it can usually only be recognized, by means of a long-term analysis of large numbers and may finally lead to the removal of the implant from the market.

Together with its partners, SIRIS has reached an impressive level of performance within a fairly short space of time and delivers data that may help to make meaningful decisions in the future Swiss health-care environment which are supported by evidence and a broad consensus of all involved players. It is the intention of all involved parties to constantly improve this data collection and evaluation, therefore registries are part of a dynamic process and not static data collectors.

This and the recent recommendations of the Swiss Academy of Science concerning medical registry should encourage other medical disciplines to finally establish equivalent registries instead of reinventing the wheel.

#### Prof. Max Aebi

President of the Foundation for Quality Assurance in Implant Surgery, SIRIS – Swiss National Implant Registry, Hip and Knee

#### **Increase in content**

The SIRIS implant registry is developing very well. The content of the second SIRIS report 2012–2016 proved to be even more informative than the previous report. Additional analyses have enhanced the registry data and show a nuanced picture of the hip and knee implants conducted to date.

This positive development is largely thanks to the focused dedication of the team of writers headed by Prof.Pierre Hoffmeyer and Prof.Anne Lübbeke-Wolff. Prof. Martin Beck, expert for hip implants, and Dr. Bernhard Christen, expert for knee implants, were added to the existing team, and provided substantial support in creating the report.

The annual report's increase in content in turn increases the importance of the implant registry. The professional association swiss orthopedics (SO) is promoting the active use of SIRIS data by its members, and the registry will be a major topic at the annual SO conference in June 2018. The ANQ would like to thank the team of writers, the SIRIS foundation and the professional association for their valuable support.

#### **Thomas Straubhaar**

President of the National Association for the Development of Quality in Swiss Hospitals and Clinics (ANQ)

### **Synopsis**

#### Introduction

Since the start of the Swiss National Joint Registry (SIRIS) in September 2012, 86'830 total hip arthroplasties, including primary and revision operations, have been recorded. The numbers oscillate between 19'120 procedures in 2013 and 20'731 in 2016. Revisions represent 12.1% of all total hip arthroplasty procedures.

Regarding knee arthroplasties, 75'467 entries have been reported since September 2012. The number of interventions increased from 16'519 primary and revision operations performed in 2013 to 18'693 in 2016. The revision burden over the entire period was 9.8%.

#### Total hip arthroplasty

With regard to primary total hip arthroplasty, 52% were performed in women, two-thirds of interventions occurred in patients aged over 65 years (the mean age of the entire cohort being 68 years), and 24% of patients were obese.

In 2016, for total hips with primary osteoarthritis, the anterior approach was used in 43% of cases, while the antero-lateral approach was used in 33% of cases, lateral in 8% and the posterior approach in 15% of cases. In patients with osteoarthritis, 86% of the primary total hip arthroplasties used were uncemented.

For all revisions of hip arthroplasties, the main causes were aseptic loosening of the femoral and/ or acetabular component (41%), infection (18%), periprosthetic fracture (15%) and dislocation (12%). In 22% of cases the revision included the exchange of both the acetabular and femoral component.

Since 2012, 1.9% of patients with primary total hip arthroplasty have had revisions performed within 12 months. It is interesting to note that the main cause for these early revisions was periprosthetic fractures followed by infection and dislocation.

#### Hemiarthroplasty of the hip

Hemiarthroplasties of the hip concern fractures of the femoral neck or, more rarely, intertrochanteric fractures. Compared to the 86'830 total hip arthroplasties implanted between 2012 and 2016, the number of hemiarthroplasties was much lower, accounting for 8'776 interventions. It is important to note that the patients receiving these implants were much older (a mean age of 84 years) and frailer, many having underlying conditions such as osteoporosis and sarcopenia.

In contrast to the recipients of total hip arthroplasties, the proportion of obese patients was low (8% compared to 24%). Women constituted 72% of the recipients, and the operation generally followed a low-energy fall or traumatic event.

#### Knee arthroplasty

With regard to primary arthroplasties of the knee, 61% occurred in women, 69% of the interventions were performed in patients aged over 65 years (compared to the mean age of the entire cohort of 69.2 years), and 39% of patients were obese. Primary osteoarthritis was the main diagnosis in 88% of cases in 2016, and 35% of patients had had previous surgery, with arthroscopic exploration and meniscectomy accounting for 34% of all previous interventions. Twenty-four percent of the interventions were reported as being computer assisted or using patient-specific instrumentation. In more than 75% of procedures, an all-cemented component fixation was reported in 2016. Patellar components were used only in one in four cases.

Primary unicompartimental prostheses accounted for 9'709 cases between 2012 and 2016, constituting 14.3% of primary knee arthroplasties. Of the total number of operations, 51% were performed in women and the mean age at surgery was 65 years. Thirty-one percent of the patients were obese. Primary osteoarthritis was the diagnosis in 92% of cases. The data show that 40% of the patients had had previous surgery, with knee arthroscopy and meniscectomy accounting for 46% of the total. Of these operations, 87% were medial, 6% were lateral and 7% patellofemoral component replacements. In more than 80% of cases an all-cemented component fixation was used.

Among all revision knee arthroplasties, patella problems were the leading cause for revision with 21.8%, followed by loosening of the tibial component in 20.2%, and infection in 18% of the cases. In 34.2% both tibial and femoral side were revised. Since 2012, 2.0% of patients with primary total knee arthroplasty have been revised within 24 months. Patella problems constituted the main reason for an early revision, followed by infection and pain.

#### Strong commitment

The 2016 SIRIS report represents a collaborative data collection effort involving all the institutional partners of SIRIS, and including the surgeons and operating teams in 156 clinics and hospital services. Streamlining, improving and optimizing the data collection is a work in progress involving expert groups and all stakeholders, including the industrial partners.

Overall, the response rate of the hospitals and clinics for sending data has been remarkable. Although the registry officially only started in 2012, it has already enjoyed a response rate of over 96% of the involved institutions.

This demonstrates not only the strong commitment to the project by the surgeons and their teams both in public and private institutions, but also the high quality of the organization, coaching, and data collection of the SIRIS team. The report provides factual information on the state of hip and knee replacements in Switzerland and presents a wealth of new information. The report also offers important and verifiable information that the health-care community, third-party payers, and health-care regulators will find useful.

## Acknowledgements

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All information in this report was composed with the utmost care. If any changes or modifications are made after publication, these will be published on our website www.siris-implant.ch, where you can also download the SIRIS Report 2012–2016.

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## Definitions

Acetabular component The part of a hip prosthesis that is implanted into the acetabulum – the socket part of a ball and socket joint

**Arthrodesis** A procedure in which a natural joint is fused together

Arthrofibrosis Rigidity of the joint as a consequence of connective tissue adhesion

Arthrotomy The opening of a joint during surgery

Articulation The two surfaces that move together (articulate) in a total joint replacement

ASA score The scoring system of the American Society of Anaesthesiologists (ASA) for grading the overall physical condition of the patient, as follows: I: fit and healthy; II: mild disease, not incapacitating; III: incapacitating systemic disease; IV: life-threatening disease

**Benchmark** Comparing the performance at a specific hospital to the mean performances of hospitals throughout Switzerland helps hospitals to learn from each other

**Bilaterality** Replacing the same joint on both sides of the body (typically both hips or knees) by means of a prosthesis within a specific period

Body Mass Index <18.5: underweight; 18.5–24.9: normal weight; 25–29.9: overweight; 30–34.9: obese class I; 35–39.9: obese class II; >40: obese class III

**Case mix** Term used to describe variation in the population, relating to factors such as diagnosis, patient age, gender and health condition

**Cement** Material (polymethyl methacrylate) used to fix joint replacements to bone

**Charnley score** Clinical classification system – A: one joint affected; B1: both joints affected; B2: contralateral joint with a prosthesis; C: several joints affected or a chronic disease that affects quality of life **Competing risks survival analysis** Method to calculate survival taking into account various outcomes, in this case revision and death

**Cumulative incidence** The combined incidences over a specific period of an event (such as the revision of a prosthesis or death of a patient)

**Cumulative revision percentage** Combined revision percentage over a specific period

**Femoral component** Part of a hip or knee prosthesis that is implanted into the femur (thigh bone) of the patient

**Girdlestone** Hip revision procedure in which the hip joint or hip prosthesis is removed and no new prosthesis is implanted (often because of a bacterial infection)

**Hybrid fixation** Fixation of a prosthesis in which one of the two parts of a prosthesis is cemented and the other one uncemented

**Head component** Part of a hip prosthesis that is implanted on top of the femoral component of a hip prosthesis and moves inside the acetabular component or the cup of the hip joint

**Inlay (insert)** Intermediate component (inner layer), made of polyethylene, which is placed in the acetabular component

**Kaplan-Meier survival analysis** Method to calculate survival, in which only one end point is possible, in this case revision

**Knee insert** Intermediate component (inner layer), made of polyethylene, which is placed in the tibial component of a knee prosthesis

Lateral collateral ligament Lateral (outer) knee ligament

**Malalignment** Strain on a part of the body due to an abnormal position of a joint component with respect to other components

Meniscectomy Meniscus removal

**Metallosis** Deposition of metal debris in soft tissues of the body

**Osteoarthritis** Disease of the joint in which the cartilage is damaged/destroyed, and the underlying bone altered

**Osteochondral bone defect** Defect of the joint surface in which both cartilage and the underlying bone are affected

Osteonecrosis Cellular death of bone tissue

**Osteosynthesis** Securing broken bone parts together with plates, pins and/or screws

**Osteotomy** Incision of the bone in order to correct its position, to shorten or lengthen it

**Patellar component** Part of a knee prosthesis that is implanted on the inner side of the knee cap

**Patellofemoral prosthesis** Two-piece knee prosthesis that provides a prosthetic (knee) articulation surface between the patella and trochlea (furrow) of the thigh bone (femur)

**Primary prosthesis** The first time (primary) a prosthesis is implanted to replace the original joint

PROMs Patient Reported Outcome Measures

#### Resurfacing hip arthroplasty

Hip prosthesis in which the cup (acetabulum) is replaced and a metal cap is implanted on top of the femoral head

#### **Reverse hybrid fixation hip prosthesis**

Fixation of a hip or knee prosthesis in which the proximal component is cemented and the distal component is uncemented

**Revision arthroplasty** Any exchange (insertion, replacement and/or removal) of one or more components of the prosthesis

**Revision burden** Ratio of revision procedures to all (primary and revision) arthroplasty procedures

**Sarcopenia** The degenerative loss of skeletal muscle mass and strength associated with aging.

Synovectomy Removal of inflamed mucosa in a joint

**Tibial component** Part of a knee or ankle prosthesis that is inserted in the tibia (shin bone) of a patient

**Total joint arthroplasty** Arthroplasty in which the entire joint of a patient is replaced

**Unicompartimental knee arthroplasty** Resurfacing of half the knee (either inner or outer side) by a prosthesis

#### Abbreviations

American Society of Anaesthesiologists
Body Mass Index
Confidence Interval
Content Report Form
Patient Reported Outcome Measures
Standard Deviation
Total Hip Arthroplasty
Total Knee Arthroplasty
Unicompartmental Knee Arthroplasty

### **1. Introduction**

#### 1.1 Purpose of the registry

The Swiss National Implant Registry, Hip and Knee (Schweizerisches Implantat Register – Registre Suisse des Implants; SIRIS) was formally introduced and started the registration in September 2012. Participation in the activity of SIRIS became compulsory for all hospitals and clinics performing knee and hip arthroplasties and that had signed the National Quality agreement of ANQ, i.e. practically all Swiss hospitals and clinics.

SIRIS is a national registry whose goal it is to help oversee the safety and effectiveness of the various implanted arthroplasties and to detect as early as possible potential problems related to inferior implant performance. For the industrial partners, SI-RIS should serve as a post-marketing surveillance instrument so as to allow the industry to track the performance of their implants over the long term. Moreover, each hospital and each surgeon can compare their own data with the complete dataset and evaluate their results against the overall results found in the registry. The aim is to use the knowledge gained from the data collected by SIRIS to improve the quality of care in Swiss hospitals and clinics.

The mission of a national joint registry needs to be clearly defined so that all stakeholders and participants strive towards a common goal. This also influences the granularity of the information contained in the registry as this will be quite a different requirement for each of the involved partners. The fact that a multi-partner association was needed to get SIRIS off the ground and flying signified that more than one point of view had to be taken into consideration if success were to be achieved. Although all the motivations pertaining to the significance of registries apply to all the partners involved, each partnertends to focus more on a particular aspect. Patients expect their implant to provide them with a long lasting, pain-free result. The operation must be adapted to their level of activity and should be tissue sparing and complication-free, followed by rapid rehabilitation. The registry data should be presented in such a way as to be readily comprehensible, allowing patients to distinguish between fact and fiction in the «jungle» of orthopaedic arthroplasty implants.

Surgeons are primarily concerned with avoiding surgical complications and shortcomings in their individual patients. The implants must be impeccable in their manufacture, versatile and avoid problems such as early loosening, particle disease, breakage, dislocation, infection, stiffness, or chronic pain. A long, problem-free implant life with a minimum amount of wear of the bearing surfaces is the ultimate goal. The registry should identify in a relatively short time frame the problematic implants as well as the reliable ones. Surgeons are essentially motivated by their own individual clinical results to enter proper and complete information into the data collection system with minimal interference in their daily activities. Surgeons will also want to benchmark their own results as compared to the overall results for each implant, technique, and patient or disease category. A moot question is the public availability of information at the individual surgeon level. This may lead to bias entering into the system by encouraging some surgeon groups to avoid complex or complication-prone patients, who are then left to seek treatment in publicly funded institutions.

The industry's main focus is on manufacturing and sales. Designing and providing a first-rate, problem-free implant system is its primary goal. Progress and technical innovation are also powerful motivators for an industry dedicated to providing high-performance implants. The registry is seen as an essential tool for post-market surveillance and clinical control that justifies improvements in materials, design, and concepts. The down-side is that overregulation may hinder efforts at innovation, thereby missing opportunities to create better and safer products.

Hospitals aim to provide excellent and safe care, at a reasonable cost, to a large number of patients. Hospitals want to avoid the expenditures and hazards related to implant systems of uncertain reliability and value. The registry is perceived as a quality control instrument, not only of the implants used, but of the whole chain of its clinical organization, ranging from the preoperative consultation, to the procedures in the operating room and to the post-operative followup. Hospitals, being health-care-providing institutions in today's competitive environment, are also very keen to uphold their reputation and a registry is an invaluable tool for this purpose.

Insurers and third-party payers want minimal delays and waiting times for employed patients, short hospitalization times, no expensive re-admissions for complications, and a quick return to work. Insurers are very cost-conscious when it comes to implant pricing, medical honorarium, and hospital bills. The insurers' wish is to provide equal benefits for all their clients within the budget available to them. The registry is therefore perceived as an instrument for quality control of surgeons and institutions and also a cost-control tool.

The government is concerned with the welfare of the whole population. It therefore needs data on the overall surgical activity for public health purposes, for needs assessments, and for planning the macroeconomic policies related to health care. Government agencies are keen to ensure that the institutions under their supervision provide high-quality and complication-free health care to the overall population. The agencies will also have an interest in benchmarking hospitals and in keeping insurance and third-party payer costs down to a minimum. Health agencies also play an important role in supervising implant systems as they seek to guarantee that the industrial specifications of nationally manufactured and imported implants are safe and reliable for public usage.



### 2. Methods

#### 2.1 Maintenance and hosting of the registry

The Swiss National Implant Registry, Hip and Knee (SIRIS) is hosted and maintained by SwissRDL at the Institute for Social and Preventive Medicine ISPM, University of Bern. A dedicated team consisting of a project manager, data management specialists, statistician and an epidemiologist is responsible for the management and maintenance, technical support and reporting and analyses of the registry. A data monitor oversees the data entry at the hospitals and supports and trains the collaborators at the participating hospital services to ensure the smooth and efficient conduct of the registry.

SIRIS data are collected on the online documentation platform MEMdoc (accessible on www.siris- doc. ch). Clinical data on primary and revision operations as well as implant data are recorded. The current used version of the SIRIS forms for data entry can be downloaded from www.siris-implant.ch. Most participating hospital services use the online interface when documenting their operations, while a small minority sends completed paper forms to SwissRDL for processing. As a third data entry method, two large services send data exports from their hospital information system via web service client to Swiss-RDL.

Implants are entered into SIRIS by scanning the bar codes of the implant tags in the operation room in most participating facilities. It is also possible to enter the information manually via the web interface.

The clinical data of the SIRIS registry is stored on dedicated servers at the University of Bern. SwissRDL is able to leverage the IT infrastructure of the ISPM and the data protection resources of the University. The ISPM IT team is responsible of roughly 30 physical servers and 120 virtual servers. The clinical data of SIRIS is stored physically separated from the patient identifying information (e.g. medical record number, name and date of birth), which is stored on a specific module server. The identifying information is encrypted into a salted hash code, which allows to identify patients who receive the revision of the primary implantation at a different health facility. This is needed for the calculation of revision rates and for the constant follow-up of the implants.

SwissRDL data protection was audited recently to ensure compliance with current standards. The methodology of splitting the clinical from the patient identifying information was reviewed and approved by data protection delegates (from the canton of Bern and from the Federal Authority). Patients must provide written informed consent before data are entered into SIRIS. They have the right to withdraw, to see what is stored and to have their data deleted completely.

#### **2.2 Definitions**

Revision: A revision procedure is a secondary surgical procedure of a patient's hip or knee joint whereby the complete primary implant or parts thereof are replaced by new components.

Reoperation: All other secondary procedures, where no components of the primary implantation are removed, are reoperations.

Revision burden: One measure commonly used to estimate the quality of arthroplasty surgery in a health-care system is the revision burden, defined as the ratio of revision procedures to all (primary and revision) arthroplasty procedures. In this report, we calculated the revision burden separately for total hip arthroplasties, hemiarthroplasties of the hip and total knee arthroplasties. Kernel density estimation: The simplest form of non-parametric density estimation is the histogram. It is helpful to depict the frequency of a variable using bins (= widths of the bars). One of the drawbacks is that we can't show several histograms in one graph without messing up the bars. A solution is using non-parametric kernel density estimation. The underlying kernel can be Gaussian. Kernel density estimations are used to show the density (=degree of compactness; on y-axis) of a variable (e.g. age; on x-axis) for different subgroups (e.g. BMI) in one simple graph.

Hospital service volumes: In the tables depicting the case mix of arthroplasty populations, four categories of hospital service volume (<100, 100–199, 200–299, 300+ procedures per year) were used. The calculation of the annual volume was performed separately for hip and knee surgeries, using the average of all (primary and revision) procedures recorded in each hospital service in 2013–2016.

#### 2.3 Data quality and completeness

Data for this report were exported from the database on November 1st 2017. The consistency and completeness of SIRIS data is checked through systematic software-generated validation tests of received data and a rollback in case of errors. This means that data entered in the registry is checked both for completeness and plausibility. For example, when a case of developmental hip dysplasia is entered, the system automatically checks that subsequent items on the questionnaire relevant for this pathology are completed and plausible. Error messages are displayed if the system detects missing or implausible information, and only fully completed forms can be saved and submitted to the central database. It is not possible to distinguish between a revision of a total and an unicompartmental knee arthroplasty when the primary arthroplasty was performed before September 2012 (except when the conversion from unicompartmental to TKA was indicated as reason for revision). The same applies to hemiarthroplasties of the hip.

Two case report form (CRF) versions have been used in SIRIS. The first version was used between 2012 and 2014. Since January 2015, an updated version has been used. It includes some changes in the definition of existing variables (particularly for the arthroplasty of the knee), and some new variables were added, most notably the body mass index (BMI) and the morbidity state (ASA). The latter allows the answer "unknown", which was inconsistently used across hospital service-providers, including one service reporting unknown ASA status in almost all cases. Close monitoring of the hospitals will be set in place to reduce missing values, for example for BMI and ASA.

#### 2.4 Coverage

To estimate the coverage of SIRIS, we compared the annual numbers of cases reported in the registry with those available in the Swiss hospital discharge master file of the Federal Statistical Office (FSO). This encompasses a complete survey of all annual hospital discharges in Switzerland. Each entry represents a hospital discharge of a person residing in Switzerland and includes information about socio-demographic patient characteristics, diagnosis and treatment.

In the Swiss hospital discharge master file, cases of an arthroplasty surgery are identified using the CHOP treatment classification of the FSO, which is an ICD-9-CM-based treatment classification. For primary THA we used 81.51.1, for hip revision surgery 81.52.2, 81.52.4 and 81.52.5. For the knees we used for the primary TKA 81.54.21, 81.54.22, 81.54.23, 81.54.25 and for knee revision surgery 81.54.31, 81.54.32, 81.54.33, 81.54.35 and 81.54.4.

The overall coverage of SIRIS was 90.1% percent in 2015, and 89.4% percent in 2016 for THA and 88.0% in 2015 and 87.8% in 2016 for TKA. Note that different sources of information have been used for this estimation and the case definition for FSO and SIRIS are not identical.

### 3. Commentary on the SIRIS report 2012–2016

SIRIS, together with and thanks to all the partners, has been successful in implementing nationwide data collection on hip and knee arthroplasties. The present report covers all recorded hip and knee arthroplasties from September 2012 to December 2016. Less than 3% of the centers sent partial information. The data available currently allow us to describe quantitatively, and realistically, the epidemiology of knee and hip arthroplasties implanted in Switzerland.

Since SIRIS is now in its fifth year of data collection, it is possible to analyze early revisions, which has provided the first valuable indication of the global quality of Swiss health-care services in this area. Moreover, the initial high levels of completeness of the case-mix variables of BMI and ASA score introduced in 2015 has further increased in 2016. However, additional efforts are necessary to achieve complete coverage. This is particularly important because the registry is increasingly moving further toward comparative analyses, which will be made even more valuable with adequate and accurate case-mix adjustment.

The continuing work on the present report has enabled analysts to identify the registry's strengths and weaknesses – a step that is essential for future improvement of registry coverage, data content, structure, accuracy, completeness, analysis, and interpretation. SIRIS, in its present form, cannot answer all the queries posed by the different stakeholders. Some questions are related to medium- and long-term follow-up, whereas today the registry can only provide information from 2012 onward. The granularity and accuracy of the information is dependent on the information that the registry receives from the hospitals and clinics. The process of gaining access to nation-wide mortality data is underway in order to calculate implant survival rates as mortality is the major risk along with implant failure. This data will be available in a future edition of the report. Ongoing modifications and improvements to the structure and content of the data-entry sheets are another important aspect. This will be an important task in the coming years, which will involve the Swiss Orthopaedics Expert Groups. Of course, input from all stakeholders is also being encouraged.

SIRIS cannot successfully answer all these challenges by its own means. As an organization it must benefit from and contribute to, the international family of registries that exist around the globe. Since December 2017, SIRIS is a full-standing member of the International Association of Arthroplasty Registries (ISAR), a global consortium of joint replacement registries throughout the world.

## 4. Overview of SIRIS

#### Table 1

#### Total hip arthroplasty

Overall number of documented operations

Year	Primary total	Revision total	Total
2012	6652	863	7515
2013	16888	2234	19122
2014	17155	2463	19618
2015	17359	2485	19844
2016	18232	2499	20731
All	76286	10544	86830

#### Table 2

#### Hemiarthroplasty of the hip

Overall number of documented operations

Year	Primary hemi- arthroplasty	Conversion to total hip arthroplasty	Total
2012	639	37	676
2013	1927	54	1981
2014	2039	54	2093
2015	1964	60	2024
2016	1958	44	2002
All	8527	249	8776

#### Table 3

#### Total and partial knee arthroplasty

Overall number of documented operations

Year	Primary total	Primary partial	Revision	Total
2012	4731	852	529	6112
2013	12927	2147	1464	16538
2014	13263	2091	1605	16959
2015	13153	2278	1734	17165
2016	14265	2341	2087	18693
All	58339	9709	7419	75467

#### Figure 1

## Distribution of age at surgery for total hip arthroplasty and hemiarthroplasty of the hip

All documented operations



#### Figure 2

## Distribution of age at surgery by total and partial knee arthroplasty

All documented operations



#### Table 4

Number of participating hospital services (N) and maximum number of procedures per service per year (Max N)

			2012	2013	2014	2015	2016
Primary total hip arthroplasty		N services	130	151	150	152	158
	Max N procedure	es per service	384	743	741	719	819
Revision of total hip arthroplast	у	N services	98	131	132	139	144
	Max N procedure	es per service	100	234	241	146	149
Primary hemiarthroplasty of the	e hip	N services	101	126	129	134	128
	Max N procedure	es per service	44	102	103	91	112
Conversion of hemiarthroplasty	of the hip	N services	24	37	39	41	32
	Max N procedure	es per service	4	5	4	5	3
Primary arthroplasty of the knee	e	N services	127	147	149	151	150
	Max N procedure	es per service	437	864	878	944	889
Primary unicompartmental knee	arthroplasty	N services	89	117	123	125	129
	Max N procedure	es per service	90	183	179	171	170
Revision arthroplasty of the kne	e	N services	88	123	127	126	131
	Max N procedure	es per service	51	112	121	100	122

Table 5

Number of hospital services and number of procedures according to hospital service volume 2012–2016

		<b>&lt;25</b>	25-49	50-99	100–199	200–299	300+
Primary total hip	N services	38	28	35	47	13	11
arthroplasty	N procedures	1325	3649	10289	26592	13159	21272
Primary knee	N services	45	27	38	33	15	5
arthroplasty	N procedures	1857	4034	10900	17577	14079	9892

Figures 3 a, b and c Cases per hospital service



## 5. Hip arthroplasty

#### 5.1 Primary total hip arthroplasty

The SIRIS registry has documented the implantation of 76'286 primary THAs since its start in 2012 (Table 6). Implantation is slightly more frequent in women (52.4%), and their mean age of 68.2 years is higher than in men (66.2 years). The majority are implanted between 55 and 84 years of age. Only 6% are implanted in patients older than 85 years. Patients younger than 45 years constitute 12.4% of the recipients. The distribution among the age groups remained stable during the observation period.

#### Table 6

Primary total hip arthroplasty: Baseline patient characteristics by year

2012–2016. BMI and ASA class data only available from 2015 onwards

<b>Baseline patient cha</b>	racteristics	2012	2013	2014	2015	2016	All
Ν		6652	16888	17155	17359	18232	76286
Diagnosis (%)	Primary OA	86	85.4	85.6	84.3	83.4	84.8
	Secondary OA	9	9	8.2	9.4	10.1	9.2
	Fracture	5	5.6	6.2	6.3	6.4	6.1
Women (%)		50.5	52.2	52.5	52.6	52.9	52.4
Mean age (SD)	All	67.2 (12.3)	67.9 (12.1)	68.3 (12.2)	68.6 (11.6)	68.4 (11.6)	68.2 (11.9)
	Women	68.9 (12)	69.7 (11.8)	70 (11.9)	70.4 (11.3)	70.2 (11.2)	70 (11.6)
	Men	65.6 (12.3)	65.9 (12.1)	66.4 (12.2)	66.6 (11.7)	66.4 (11.6)	66.2 (12)
Age group (%)	<45	3.9	3.3	3.2	2.6	2.8	3.1
	45-54	10	9.9	9.2	9.8	9.5	9.6
	55-64	23.3	21.9	21.3	21.3	21.6	21.7
	65–74	32.7	33.5	33.4	33.6	34.2	33.6
	75-84	25.2	25.5	26.6	26.1	25.7	25.9
	85+	4.9	5.8	6.2	6.6	6.3	6.1
BMI unknown (N/%)					4480/25.8	3775/20.7	8255
BMI known (N)					12879	14457	27336
Mean BMI (SD)					27.1 (5)	27.2 (5.4)	27.1 (5.3)
BMI (%)	<18.5				1.8	1.8	1.8
	18.5-24.9				35.1	34.9	35
	25-29.9				38.8	39.3	39.1
	30-34.9				17.2	17.4	17.3
	35-39.9				5.4	4.9	5.1
	40+				1.7	1.7	1.7
ASA unknown (N/%)					2391/13.7	2224/12.2	4615
ASA known (N)					14968	16008	30976
ASA state (%)	ASA 1				16.4	14.7	15.5
	ASA 2				58.2	59.5	58.9
	ASA 3				24.8	25	24.9
	ASA 4/5				0.6	0.8	0.7

#### Table 7

**Primary total hip arthroplasty: Baseline patient characteristics by main diagnostic group** BMI and ASA class data only available from 2015 onwards

Buseline putient enu	racteristics	<b>Primary OA</b>	Secondary OA	Fracture
N (2012-2016)		64670	7000	4616
Women (%)		50.9	56.5	66.4
Mean age (SD)	All	68.3 (11.3)	63.2 (15.5)	73.7 (11.5)
	Women	70.1 (10.9)	65.4 (15.4)	74.6 (11.2)
	Men	66.5 (11.4)	60.4 (15.1)	72 (11.9)
Age group (%)	<b>&lt;</b> 45	2.2	12.1	1.1
	45-54	9.2	17.4	4.5
	55-64	22.3	20.8	13.9
	65–74	35.1	22.7	29.3
	75-84	26	20	33.8
	85+	5.2	7	17.5
Diagnosis (%)	Osteoarthritis	100		
	Inflammatory arth	ritis	5.8	
	Developmental dy	splasia	21.6	
	Osteonecrosis		54	
	Miscellaneous		18.6	
	Fracture			100
N (2015-2016)		29781	3461	2264
N (2015-2016) Unknown BMI (N/%)		<b>29781</b> 6829/22.9	<b>3461</b> 661/19.1	<b>2264</b> 680/30.0
Unknown BMI (N/%)		6829/22.9	661/19.1	680/30.0
Unknown BMI (N/%) Known BMI (N)	<18.5	6829/22.9 22952	661/19.1 2800	680/30.0 1584
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)	<18.5 18.5–24.9	6829/22.9 22952 27.4 (5.1)	661/19.1 2800 26.7 (6)	680/30.0 1584 24.2 (4.7)
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)		6829/22.9 22952 27.4 (5.1) 1.2	661/19.1 2800 26.7 (6) 3	680/30.0 1584 24.2 (4.7) 9.3
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)	18.5–24.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2	661/19.1 2800 26.7 (6) 3 39.2	680/30.0 1584 24.2 (4.7) 9.3 53.5
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)	18.5–24.9 25–29.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3	661/19.1 2800 26.7 (6) 3 39.2 35.6	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)	18.5–24.9 25–29.9 30–34.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD)	18.5–24.9 25–29.9 30–34.9 35–39.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1 5.4	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9 4.5	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1 2
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD) BMI (%)	18.5–24.9 25–29.9 30–34.9 35–39.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1 5.4 1.8	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9 4.5 1.8	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1 2 2 0.4
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD) BMI (%)	18.5–24.9 25–29.9 30–34.9 35–39.9	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1 5.4 1.8 3922/13.2	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9 4.5 1.8 352/10.2	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1 2 2 0.4 256/11.3
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD) BMI (%) Unknown ASA (N/%) Known ASA (N)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1 5.4 1.8 3922/13.2 25859	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9 4.5 1.8 352/10.2 3109	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1 2 0.4 256/11.3 2008
Unknown BMI (N/%) Known BMI (N) Mean BMI (SD) BMI (%) Unknown ASA (N/%) Known ASA (N)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+ ASA 1	6829/22.9 22952 27.4 (5.1) 1.2 33.2 40.3 18.1 5.4 1.8 3922/13.2 25859 15.9	661/19.1 2800 26.7 (6) 3 39.2 35.6 15.9 4.5 1.8 352/10.2 3109 17.7	680/30.0 1584 24.2 (4.7) 9.3 53.5 26.8 8.1 26.8 8.1 2008 256/11.3 2008 7.5

The BMI and morbidity state (ASA class) results are recorded since 2015. However, data for BMI is missing in 23% and for ASA class in 12% of cases, due to incomplete data reporting. The mean BMI was 27.1 kg/m<sup>2</sup>. Of the total number of interventions, 39% were performed in overweight patients and 24% in obese patients. Obesity is more frequent in younger patients. Increasing BMI of the patient was associated with younger age at surgery (Figure 4). The majority of procedures are performed on healthy individuals; 25% of the implantations are performed in ASA class  $\geq$  3.

With regard to the main diagnostic groups (Table 7), women are older and more frequently treated for fractures. Overall, 80% of the patients treated for a fracture are older than 65 years and 50% of the patients are older than 75 years. There is also a much higher proportion of patients in the fracture group belonging to ASA class  $\geq$  3.

#### Figure 4





#### Table 8

**Baseline characteristics of primary total hip arthroplasty patients by hospital service volume** Calculations of hospital service volume based on all primary and revision hip surgeries in 2016. BMI and ASA class data only available from 2015 onwards

300+ **Hospital service volume <100** 100-199 200-299 N (2012-2016) 15263 21272 26592 13159 Women (%) 52 52.6 52.3 53.7 Mean age (SD) All 69.1 (12) 68.2 (11.7) 68.4 (11.5) 67.4 (12.3) Women 71 (11.7) 70 (11.4) 70.4 (10.8) 69 (12.1) Men 66.9 (11.9) 66.3 (11.7) 66.4 (11.8) 65.5 (12.3) Age group (%) <45 2.5 2.8 2.4 4.2 45-54 10.9 8.5 9.7 8.7 55-64 20.6 21.9 21.6 22.2 65-74 35.8 32.5 33.5 33.4 75-84 28 26.1 26.2 24.1 85+ 7 6 5.3 6.2 Diagnosis (%) Primary OA 85.7 88.9 81.8 83.8 Secondary OA 9.2 8.2 7.3 11.6 Fracture 7 3.9 6.6 6.1 N (2015-2016) 6993 12695 6012 9806 Unknown BMI (N/%) 3047/24.0 1071/10.9 2063/29.5 1989/33.1 Known BMI (N) 4930 9648 4023 8735 Mean BMI (SD) 27.2 (5.1) 27.4 (5.4) 27.1 (5.5) 26.9 (5) BMI (%) <18.5 1.8 1.6 1.8 2.1 18.5-24.9 34.6 33.4 35.5 36.7 39.7 25-29.9 38.8 39.5 38.5 30-34.9 17.7 16.8 16.5 18 35-39.9 5.2 5.6 4.5 4.8 40+ 1.9 1.9 1.7 1.5 Unknown ASA (N/%) 616/8.8 1598/12.6 1496/24.9 820/8.4 Known ASA (N) 8986 6377 11097 4516 17.2 Morbidity state (%) ASA 1 17.6 13.5 13 ASA 2 58.8 58.7 62.9 57.2 ASA 3 22.8 23.5 23.1 29 ASA 4/5 0.8 0.7 0.5 0.8

Total hip arthroplasties constituted 99.9% of all procedures (Table 9). During the registration period, only 62 hip resurfacing procedures were documented. The surgical approach is documented since 2015 (Tables 9 and 11).

Overall, the anterior approach (DAA) was the most commonly used approach (43%), followed by the anterolateral approach used in 34% of cases, the posterior approach in 15%, and the lateral approach was used in less than 10% of the interventions. The anterior approach gained popularity and increased from 41.6% in 2015 to 43.7% in 2016.

#### Table 9

**Primary total hip arthroplasty: Surgery characteristics by main diagnostic group** Approach data only available from 2015 onwards

Surgery characteristics		Prin	<b>Primary OA</b>		ary OA	Fracture	
		N	%	Ν	%	Ν	%
Previous surgery	None			5607	80.1	4040	87.5
	Internal fixation femur			694	9.9	127	2.8
	Osteotomy femur			384	5.5	396	8.6
	Internal fixation acetabulum			281	4.0	37	0.8
	Osteotomy pelvis			41	0.6	38	0.8
	Arthrodesis			123	1.8	6	0.1
	Other previous surgery			4	0.1	3	0.1
Intervention	Total hip replacement	64622	99.9	6993	99.9	4609	99.8
	Hip resurfacing	48	0.1	7	0.1	7	0.2
Approach	Anterior	12774	42.9	1432	41.4	952	42.0
	Anterolateral	10017	33.6	1141	33.0	609	26.9
	Posterior	4492	15.1	534	15.4	378	16.7
	Lateral	2278	7.6	285	8.2	282	12.4
	Other approach	249	0.8	70	2.0	45	2.0
Fixation	All uncemented	55921	86.5	5464	78.1	2222	48.1
	Hybrid*	7371	11.4	1006	14.4	1767	38.3
	All cemented	841	1.3	303	4.3	450	9.7
	Reverse hybrid**	387	0.6	127	1.8	99	2.1
	Reinforcement ring, femur uncemented	81	0.1	42	0.6	31	0.7
	Reinforcement ring, femur cemented	69	0.1	58	0.8	47	1.0

\* acetabulum uncemented, femur cemented

\*\* acetabulum cemented, femur uncemented

#### Tables 10 a, b, c and Figures 5 a, b, c

#### Primary total hip arthroplasty: Component fixation methods by diagnostic group by year

Total numbers per year

2012	2013	2014	2015	2016
8	10	19	22	22
13	16	16	10	14
32	91	104	86	74
682	1686	1613	1692	1698
4923	12454	12726	12595	13223
64	165	205	228	179
5722	14422	14683	14633	15210

Table/Figure a <b>Primary</b>	Р	ercenta	ge per year			
osteoarthritis	100					
Reinforcement ring	80					
Reinforcement ring femur cemented	60					
Reverse hybrid						
Hybrid	40					
All uncemented	20					
All cemented						
Total	0 [	2012	2013	2014	2015	2016
Table/Figure b						

#### 

2012	2013	2014	2015	2016
3	7	2	10	9
3	10	7	17	10
11	25	22	24	17
127	347	422	431	440
160	476	520	489	577
27	79	99	123	122
331	944	1072	1094	1175

#### Table/Figure b **Secondary** osteoarthritis

Table/Figure c

Reverse hybrid

Alluncemented All cemented

Hybrid

Total

Reinforcement ring femur uncemented Reinforcement ring femur cemented

Fracture

Reinforcement ring femur uncemented	_	80
Reinforcement ring femur cemented		60
Reverse hybrid		
Hybrid		40
Alluncemented		20
All cemented		0
Total		0





All uncemented fixation was used in 87% of cases of primary osteoarthritis, 78% in secondary osteoarthritis and 48% in the fracture group (Tables 9 and 10). The acetabulum was mostly uncemented. Across all diagnostic groups, 2'207 patients (3%) received a cemented cup. 49% of the femur components were cemented in the fracture group. Fixation techniques for primary and secondary osteoarthritis remained stable over the observation period (Figure 5). Most frequently, an uncemented fixation for both components (48%) was used, followed by a hybrid fixation in 41% of the cases.

#### Table 11

#### **Primary total hip arthroplasty: Surgical approach by year** Approach data only available from 2015 onwards

Surgical approach	201	2015		.6
	N	%	N	%
Anterior	7193	41.6	7965	43.7
Anterolateral	5759	33.3	5976	32.8
Lateral	1442	8.3	1403	7.7
Posterior	2665	15.4	2739	15.0
Other approach	215	1.2	149	0.8
Total	17274	100	18232	100

#### 5.2 Revision of total hip arthroplasty

Among the 10'544 THA revisions documented over the entire data collection period, 51% were performed in women (Table 12). The mean age at revision was 71 years. On average, men were three years younger than women (69 versus 72 years). The age group <45 years accounted for 3% and the age group between 45 and 55 for 8% of revisions. There was an increase in the proportion of revisions in the age category 85 years and older from 9% in 2012 to 12% in 2016. The mean BMI at time of revision was 27.4 kg/m<sup>2</sup> and was similar to primary THA.

#### Table 12

**Revision of total hip arthroplasty: Baseline patient characteristics by year** 2012–2016, BMI and ASA class data only available from 2015 onwards

Baseline patient cha	racteristics	2012	2013	2014	2015	2016	All
N		863	2234	2463	2485	2499	10544
Women (%)		47.5	52.1	52.8	49.8	52.3	51.4
Mean age (SD)	All	69.3 (13.5)	70.1 (12.2)	70.8 (12.7)	71.3 (12.1)	71 (12)	70.7 (12.4)
0 ( )	Women	70.4 (13.6)	71.5 (12.2)	72.5 (12.7)	73.5 (12.1)	72.3 (12)	72.3 (12.4)
	Men	68.3 (13.4)	68.6 (12.1)	69 (12.4)	69.2 (11.9)	69.6 (11.9)	69 (12.2)
Age group (%)	<b>&lt;</b> 45	3.6	2.9	2.5	2.8	2.2	2.7
	45-55	8.8	7.5	8.3	6.9	7.9	7.8
	55-65	18.5	19.6	18	17.3	17.4	18.1
	65-75	30	30.8	26.9	29.2	30.3	29.3
	75-85	30	29.4	32.2	31.4	30	30.7
	85+	9	9.9	12.2	12.5	12	11.5
BMI unknown (N/%)					772/31.1	547/21.9	1319
BMI known (N)					1713	1952	3665
Mean BMI (SD)					27.2 (5.3)	27.5 (5.4)	27.4 (5.4)
BMI (%)	<18.5				2.6	2.1	2.3
	18.5-24.9				34.9	32.4	33.6
	25–29.9				37.7	38.5	38.1
	30-34.9				16.2	17.9	17.1
	35-39.9				6.8	6.9	6.9
	40+				1.8	2.2	2
ASA unknown (N/%)					393/15.8	335/13.4	728
ASA known (N)					2092	2164	4256
Morbidity state (%)	ASA 1				8.8	7.3	8.1
	ASA 2				47.5	48.8	48.2
	ASA 3				40.8	41.4	41.1
	ASA 4/5				2.9	2.4	2.7

While data on the type of revision has been available since the start of the registry in 2012, the current listing of the reasons for revisions and the information on approach have only been recorded since 2015. Aseptic loosening of the femoral component was the most common reason for revision, followed

by aseptic loosening of the acetabular component, infection, periprosthetic fracture, and dislocation (Table 13).

#### Table 13

**Reason for revision of primary total hip arthroplasty** Multiple reasons are possible per patient. The reasons for revisions categories as listed below are only available from 2015 onwards.

Reason for revision	201	5–2016
	Ν	%
Loosening femoral	1067	21.6
Loosening acetabular	936	18.9
Infection	890	18.0
Periprosthetic fracture	734	14.9
Dislocation	576	11.7
Wear	288	5.8
Metallosis	244	4.9
Acetabular osteolysis	182	3.7
Femoral osteolysis	167	3.4
Position/Orientation of cup	159	3.2
Trochanter pathology	110	2.2
Status after spacer	103	2.1
Implant failure/breakage	100	2.0
Blood ion level	98	2.0
Position/Orientation of stem	90	1.8
Impingement	82	1.7
Acetabular protrusion	54	1.1
Squeaking	33	0.7
Other	1142	23.1
Total 2015–2016	7055	142.8

The revision of both acetabular and femoral components was the most common type of revision. Looking at acetabular and femoral revisions separately, the acetabulum was revised in 55% of all cases, and the femoral stem in 49% of all cases (Table 14). In contrast to primary hip arthroplasty, where anterior and anterolateral approaches are the most commonly used approaches, over 58% of the revisions are performed through a posterior or lateral approach (Table 15), and 33% through an anterior or anterolateral approach.

#### Table 14

**Type of revision of total hip arthroplasty** 2012–2016

Type of revision	2012	2–2016
	Ν	%
Exchange acetabular and femoral components	2294	21.8
Exchange acetabular component and head	2106	20.0
Exchange femoral component	1787	16.9
Exchange head and inlay	920	8.7
Exchange acetabular component	840	8.0
Component reimplantation (after spacer or Girdlestone)	583	5.5
Exchange head	485	4.6
Exchange femoral component and inlay	388	3.7
Component removal, spacer implantation	251	2.4
Girdlestone	208	2.0
Exchange inlay	108	1.0
Exchange femoral component, inlay and osteosynthesis	80	0.8
Osteosynthesis	66	0.6
Prosthesis preserving revision	65	0.6
Other intervention	363	3.4
Total 2012–2016	10544	100.0

#### Table 15

**Approach of revision of total hip arthroplasty** Data only available from 2015 onwards

Approach of revision	201	5–2016
	N	%
Posterior	1646	33.3
Lateral	1227	24.8
Anterolateral	868	17.6
Anterior	744	15.1
Transfemoral	250	5.1
Other approach	208	4.2

Component fixation techniques changed slightly during the entire period of data acquisition (Figure 6, Table 16). There was a steady increase in the use of all uncemented component fixation but also in all cemented fixation until 2015, followed by a slight decrease in 2016.





#### Table 16

**Revision of total hip arthroplasty: Component fixation by year** 2012–2016

<b>Component fixation</b>	2012	2013	2014	2015	2016	2012-	2016
	N	Ν	Ν	Ν	Ν	N	%
Reinforcement ring femur uncemented	39	100	132	57	69	397	4.8
Reinforcement ring femur cemented	38	53	65	36	51	243	2.9
Reverse hybrid*	45	115	129	162	144	595	7.2
Hybrid**	73	166	176	163	191	769	9.3
All uncemented	337	1011	1062	1123	1160	4693	56.9
All cemented	106	305	369	394	377	1551	18.9
Total	638	1750	1933	1935	1992	8248	100

\* acetabulum cemented, femur uncemented

\*\* acetabulum uncemented, femur cemented

## 5.3 First revision of primary total hip arthroplasty

This chapter reports on the revisions of those primary THAs that were implanted since the start of the registry in September 2012. Of the 76'286 primary THAs documented in the registry, 1'767 (2.3%) were revised by the end of 2016 (Table 17). Of all the revisions carried out, 92% were performed by the same provider that performed the primary implantation. The risk of revision was higher in hips with secondary osteoarthritis (3.2%) and even higher in hips treated for a fracture (4.2%). Revisions were slightly more frequent in men. The BMI had a substantial impact on the risk of revision. Revision rates increased with increasing BMI from 1.3% in normal weight patients to 2.0% in obese class I (30–34.9 kg/m<sup>2</sup>)

Table 17

## First revision of primary total hip arthroplasty overall and according to baseline patient characteristics

<b>Baseline patient</b>	aseline patient characteristics		r Revised		Revised same service		
		Ν	Ν	%	Ν	%	
Overall (2012-20	16)	76286	1767	2.3	1626	92.0	
Diagnosis	Primary OA	64670	1353	2.1	1247	92.2	
	Secondary	7000	222	3.2	207	93.2	
	Fracture	4616	192	4.2	172	89.6	
Overall Primary (	DA (2012–2016)	64670	1353	2.1	1247	92.2	
Gender	Women	32943	669	2.0	621	92.8	
	Men	31727	684	2.2	626	91.5	
Age group	<55	7370	161	2.2	146	90.7	
	55-64	14430	297	2.1	270	90.9	
	65–74	22690	451	2.0	421	93.3	
	75-84	16807	372	2.2	344	92.5	
	85+	3373	72	2.1	66	91.7	
Overall Primary (	DA (2015–2016)	29781	564	1.9	509	90.2	
BMI group	<18.5	272	3	1.1	3	100.0	
	18.5-24.9	7613	98	1.3	83	84.7	
	25–29.9	9259	158	1.7	145	91.8	
	30-34.9	4150	85	2.0	80	94.1	
	35-39.9	1241	49	3.9	44	89.8	
	40+	417	26	6.2	25	96.2	
	Unknown	6829	145	2.1	129	89.0	
Morbidity state	ASA 1	4106	66	1.6	57	86.4	
	ASA 2	15678	257	1.6	234	91.1	
	ASA 3	5961	155	2.6	139	89.7	
	ASA 4 / 5	114	4	3.5	4	100.0	
	Unknown	3922	82	2.1	75	91.5	

patients, 3.9% in obese class II (35–39.9 kg/m<sup>2</sup>) patients, and 6.2% in obese class III (BMI >40 kg/m2) patients. Compared to ASA class 1 and 2 patients, those categorized as ASA class 3 had an increase in the revision rate to 2.6%, which further increased to 3.5% in ASA class 4/5. Across all groups, the majority of revisions occurred during the first tree months (Figure 7a) and reached 1.9% at 12 months, (Table 18). Only an additional 0.3% were revised during the subsequent 12 months, adding up to a revision rate of 2.2% at 24 months. The revision rate was identical for men and women during the first 12 months. Thereafter, it increased more for men. Patients with a BMI >35 kg/m<sup>2</sup> were likely to have revisions performed in the first 12 months, thereafter only very few revisions were recorded for this patient group.

#### Table 18

## First revision of primary total hip arthroplasty within 12 months and within 24 months overall and according to baseline characteristics

<b>Baseline patien</b>	seline patient characteristics Primary Revised within 12 months		Revise	<b>Revised within 24 months</b>						
			Rev	ised	<b>9</b> 5%	CI	Rev	vised	<b>95</b> %	CI
		N	Ν	%	lower	upper	Ν	%	lower	upper
Overall (2012-2	016)	76286	1477	1.9	1.8	2.0	1685	2.2	2.1	2.3
Diagnosis	Primary OA	64670	1122	1.7	1.6	1.8	1291	2.0	1.9	2.1
	Secondary OA	7000	191	2.7	2.3	3.1	214	3.1	2.7	3.5
	Fracture	4616	164	3.6	3.0	4.1	180	3.9	3.3	4.5
Overall Primary	OA (2012–2016)	64670	1122	1.7	1.6	1.8	1291	2.0	1.9	2.1
Gender	Women	32943	572	1.7	1.6	1.9	637	1.9	1.8	2.1
	Men	31727	550	1.7	1.6	1.9	654	2.1	1.9	2.2
Age group	<55	7370	112	1.5	1.2	1.8	147	2.0	1.7	2.3
	55-64	14430	236	1.6	1.4	1.8	279	1.9	1.7	2.2
	65–74	22690	385	1.7	1.5	1.9	438	1.9	1.8	2.1
	75-84	16807	323	1.9	1.7	2.1	355	2.1	1.9	2.3
	85+	3373	66	2.0	1.5	2.4	72	2.1	1.6	2.6
Overall Primary	OA (2015–2016)	29781	530	1.8	1.6	1.9	564	1.9	1.7	2.0
BMI group	<18.5	272	3	1.1	-0.1	2.3	3	1.1	-0.1	2.3
	18.5-24.9	7613	90	1.2	0.9	1.4	98	1.3	1.0	1.5
	25–29.9	9259	149	1.6	1.4	1.9	158	1.7	1.4	2.0
	30-34.9	4150	82	2.0	1.6	2.4	85	2.0	1.6	2.5
	35-39.9	1241	47	3.8	2.7	4.8	49	3.9	2.9	5.0
	40+	417	26	6.2	3.9	8.6	26	6.2	3.9	8.6
	Unknown	6829	133	1.9	1.6	2.3	145	2.1	1.8	2.5
Morbidity state	ASA 1	4106	57	1.4	1.0	1.7	66	1.6	1.2	2.0
	ASA 2	15678	238	1.5	1.3	1.7	257	1.6	1.4	1.8
	ASA 3	5961	153	2.6	2.2	3.0	155	2.6	2.2	3.0
	ASA 4/5	114	4	3.5	0.1	6.9	4	3.5	0.1	6.9
	Unknown	3922	78	2.0	1.6	2.4	82	2.1	1.6	2.5

The most popular approach in Switzerland is the anterior approach, which has a revision rate of 1.8% during the first 12 months, compared to the anterolateral approach with 1.6%. The revision rate for these two approaches leveled out after 24 months. The highest revision rate was reported for the posterior

approach (2.4%). The lateral approach had the lowest revision rate with 1.1%.

Within the first 24 months of implantation, the most frequent reasons for revision were infection in 23.4% of cases, followed by periprosthetic fractures in 21.4% of cases, dislocation in 20.4% of cases

Table 19

**First revision of primary total hip arthroplasty according to component fixation and approach** 2012–2016

	Primary	Revis	Revised within 12 months			Revised within 24 mo			onths
		Rev	ised	95% CI		Revised		95%	CI
	Ν	Ν	%	lower	upper	Ν	%	lower	upper
Overall Primary OA	64670	1122	1.7	1.6	1.8	1291	2.0	1.9	2.1
Stem fixation									
Uncemented	56389	991	1.8	1.6	1.9	1144	2.0	1.9	2.1
Cemented	8281	131	1.6	1.3	1.9	147	1.8	1.5	2.1
Overall Primary OA	29810	531	1.8	1.6	1.9	565	1.9	1.7	2.1
Approach									
Anterior	12774	231	1.8	1.6	2.0	240	1.9	1.6	2.1
Anterolateral	10017	160	1.6	1.4	1.8	180	1.8	1.5	2.1
Lateral	2278	24	1.1	0.6	1.5	26	1.1	0.7	1.6
Posterior	4492	110	2.4	2.0	2.9	113	2.5	2.1	3.0
Other approach	249	6	2.4	0.5	4.3	6	2.4	0.5	4.3

and loosening of the femoral component in 10.9% of cases (Table 20, Figure 7 a,b,c). On average, most revisions occurred in the first three months. With regard to all revisions, infection and dislocation were the most frequent and earliest reasons for revisions. When stratifying the results by stem fixation, the most frequent and earliest reasons for revision after

the use of uncemented stems were periprosthetic fractures (22%), followed by infection (19%) and dislocation (18%). In cemented stems, the most frequent and earliest reason for revision was dislocation (26%), followed by infection (30%). Periprosthetic fractures (10%) occurred less frequently and at a later stage.

#### Table 20

#### Reason for early first revision of primary total hip arthroplasty

Multiple reasons are possible per patient. The reasons for revisions categories as listed below are only available from 2015 onwards.

Reason for early first revision	2015	-2016
	Ν	%
Infection	175	23.4
Periprosthetic fracture	160	21.4
Dislocation	153	20.4
Loosening femoral	82	10.9
Loosening acetabular	58	7.7
Position/orientation of stem	32	4.3
Position/orientation of cup	26	3.5
Impingement	12	1.6
Trochanter pathology	8	1.1
Acetabular protrusion	7	0.9
Implant breakage	6	0.8
Status after spacer	5	0.7
Squeaking	3	0.4
Wear	1	0.1
Metallosis	1	0.1
Other	141	18.8



#### Figure 7 a, b and c Reason for early first revision by time interval since primary total hip arthroplasty
## 6. Hemiarthroplasty of the hip

## 6.1 Primary hemiarthroplasty of the hip

Patients with a femoral neck fracture that is treated with a hemiarthroplasty are a special group of patients, with much lower functional needs and expected life span than patients undergoing THA. For this reason the data of this cohort of patients is recorded and analyzed in this separate chapter of the SIRIS report. More than 56% of the patients belong to the age group 85 years and older (Table 21). The second largest group are patients aged 75 to 84 years (32%). The BMI is rather low and is on average 23.8 kg/m<sup>2</sup>. Women are affected more frequently and account for 72% of all patients who have undergone hemiarthroplasty. As expected the majority of patients are grouped in the ASA 3 class (58%).

#### Table 21

### Primary hemiarthroplasty: Baseline patient characteristics by year

2012–2016. BMI and ASA class data only available from 2015 onwards

Baseline patient cha	aracteristics	2012	2013	2014	2015	2016	All
Ν		639	1927	2039	1964	1958	8527
Women (%)		71.7	74	73.4	71.8	70.5	72.4
Mean age (SD)	All	83.2 (11)	83.7 (10.3)	84.1 (9.6)	84.3 (9.2)	84.5 (8.6)	84.1 (9.6)
	Women	83.9 (10.2)	84.3 (9.8)	84.7 (8.6)	84.8 (8.7)	84.9 (8.2)	84.6 (8.9)
	Men	81.5 (12.8)	82.1 (11.6)	82.4 (11.6)	83.2 (10.4)	83.4 (9.4)	82.7 (10.9)
Age group (%)	<45	0.8	0.6	0.4	0.4	0.2	0.4
	45-54	1.4	0.7	0.6	0.7	0.3	0.6
	55-64	3	2.6	1.9	2.1	2	2.2
	65–74	8.1	8.5	7.9	8.4	8.5	8.3
	75-84	33	32.2	33.1	31	33	32.4
	85+	53.7	55.5	56	57.6	55.9	56.1
BMI unknown (N/%)					769/39.2	631/32.2	1400
BMI known (N)					1195	1327	2522
Mean BMI (SD)					23.8 (4.7)	23.8 (4.6)	23.8 (4.6)
BMI [%]	<18.5				10.3	9.8	10
	18.5-24.9				55.1	53.7	54.4
	25–29.9				27.3	28.6	28
	30-34.9				5.4	6	5.7
	35-39.9				1.4	1.5	1.5
	40+				0.5	0.5	0.5
ASA unknown (N/%)					226/11.5	145/7.4	371
ASA known (N)					1738	1813	3551
Morbidity state (%)	ASA 1				2.2	1.4	1.8
	ASA 2				26.5	25	25.7
	ASA 3				63.4	64	63.7
	ASA 4/5				7.9	9.5	8.8

## Baseline patient characteristics of primary hemiarthroplasty patients

Calculation of hospital services were based on all THA and hemiarthroplasty primary and revision hip surgeries in 2016. BMI data are only available from 2015 onwards

Hospital service vo	lumo	<100	100–199	200–299	300+
N (2012–2016)	tume	3497	2824	794	1412
Women (%)		73.4	72.2	71.4	70.5
Mean age (SD)	All	83.8 (9.7)	83.7 (9.7)	83.6 (10.5)	85.6 (8.3)
Mean age (SD)	Women	84.3 (9.2)	84.3 (8.9)	84.4 (9.7)	86.1 (7.7)
	Men	84.3 (9.2) 82.4 (10.9)	82.4 (11.3)	81.7 (12.1)	84.4 (9.4)
Age group (%)	<45	0.5	0.4	01.7 (12.1)	0.2
Age group (70)	45-54	0.4	0.9	0.8	0.2
	55-64	2.2	2.3	3.1	1.4
	65-74	9.2	8.7	8.4	5.3
	75-84	33.6	33.5	31	28
	85+	54.2	54.2	56	64.4
N (2015-2016)		1610	1331	312	645
Unknown BMI (N/%	) )	640/39.8	475/35.7	149/47.8	112/17.4
Known BMI (N)		970	856	163	533
Mean BMI (SD)		24 (4.9)	23.8 (4.6)	23.6 (3.9)	23.4 (4.4)
BMI [%]	<18.5	9.2	10	10.4	11.4
	18.5-24.9	53.9	53.4	49.1	58.3
	25-29.9	28.7	28.6	35	23.6
	30-34.9	5.8	6	4.9	5.3
	35-39.9	1.8	1.6	0.6	0.9
	40+	0.7	0.4	0.4	
Unknown ASA (N/%	<b>()</b>	98/6.1	168/12.6	33/10.6	48/7.4
Known ASA (N)		1512	1163	279	597
Morbidity state (%)	ASA 1	2.2	1.5	1.4	1.5
	ASA 2	26.9	27.3	23.7	20.9
	ASA 3	63.4	61.7	64.2	68.3
	ASA 4/5	7.6	9.5	10.8	9.2

More than one third of patients (37%) are treated in hospitals performing fewer than 100 hip replacement surgeries per year and one fourth (27%) in hospitals treating between 100 and 199 patients per year (Table 22). High-volume hospitals each provide treatment for 18% of the cases.

#### Table 23

### **Surgery characteristics of primary hemiarthroplasty** Approach data are only available from 2015 onwards

Surgery characteristi	Ν	%	
Previous surgery	None	8209	96.3
	Internal fixation femur	141	1.7
	Osteotomy femur	18	0.2
	Osteotomy pelvis	3	0.0
	Arthrodesis	3	0.0
	Internal fixation acetabulum	1	0.0
	Other previous surgery	155	1.8
Intervention	Femoral head prosthesis	6253	73.3
	Bipolar prosthesis	2254	26.4
	Hemi-surface replacement	20	0.2
Approach	Anterior	1186	30.4
	Anterolateral	1145	29.4
	Lateral	796	20.4
	Posterior	698	17.9
	Other approach	75	1.9
Stem fixation	Cemented	7090	83.2
	Uncemented	1437	16.9

## Conversion of hemiarthroplasty: Baseline patient characteristics by year

2012–2016. BMI and ASA class data are only available from 2015 onwards

Baseline patient cha	racteristics	2012	2013	2014	2015	2016	All
Ν		37	54	54	60	44	249
Women (%)		78.4	70.4	74.1	75	70.5	73.5
Mean age (SD)	All	79.9 (7.1)	78.4 (11.5)	75.6 (12.2)	74.6 (10.9)	75.3 (11.3)	76.6 (11)
	Women	79.2 (7.7)	80.2 (10.9)	78.5 (7.9)	75.5 (10.5)	76.3 (11)	77.9 (9.8)
	Men	82.5 (3.1)	74.1 (12.1)	67.2 (17.7)	71.7 (11.9)	72.9 (12.3)	72.9 (13.2)
Age group (%)	<45	1.9	3.7	2.3			1.6
	45-55	3.7	1.9	6.7	2.3		3.2
	55-65	5.4	5.6	7.4	10	9.1	7.6
	65-75	18.9	16.7	24.1	21.7	27.3	21.7
	75-85	51.4	38.9	42.6	40	43.2	42.6
	85+	24.3	33.3	20.4	21.7	15.9	23.3
BMI unknown (N/%)					10/16.7	3/6.8	13
BMI known (N)					50	41	91
Mean BMI (SD)					24.2 (3.9)	25.1 (4.7)	24.6 (4.3)
BMI (%)	<18.5				4	4.9	4.4
	18.5-24.9				56	48.8	52.7
	25-29.9				32	31.7	31.9
	30-34.9				8	9.8	8.8
	35-39.9				4.9	0	2.2
ASA unknown (N/%)					3/5.0	5/11.4	8
ASA known (N)					57	39	96
Morbidity state (%)	ASA 1				3.5	5.1	4.2
	ASA 2				54.4	48.7	52.1
	ASA 3				42.1	43.6	42.7
	ASA 4/5				2.6	0	1

# 6.2 Conversion of hemiarthroplasty to total hip arthroplasty

During the entire registry period, 249 hemiarthroplasties were revised to a total hip arthroplasty (Table 24). Based on the total number of 8'776 hemiarthroplasties, the conversion burden is 2.8%. As in the baseline demographics, women are more often affected. Of the total number of revisions, 66% were performed in patients over the age of 75 years. Reasons for revision are available from 2015 onwards (Table 25).

The most frequent reason for conversion was femoral loosening in 20% of cases, followed by acetabular protrusion in 14% and dislocation in 13% of cases.

#### Table 25

### Reason for conversion of hemiarthroplasty

Multiple reasons are possible per patient. The reasons for conversion categories as listed below are only available from 2015 onwards.

Reason for conversion	2015–2016	
	Ν	%
Loosening femoral	21	20.2
Acetabular protrusion	14	13.5
Dislocation	13	12.5
Wear	9	8.7
Periprosthetic fracture	8	7.7
Infection	6	5.8
Trochanter pathology	4	3.8
Position/Orientation of stem	3	2.9
Impingement	2	1.9
Metallosis	1	1.0
Other	52	50.0

## Table 27

### Component fixation of conversion of hemiarthroplasty to THA

Component fixation 2012–2		012-2016
	Ν	%
Uncemented	145	58.2
Hybrid*	55	22.1
Cemented	35	14.1
Reverse hybrid**	7	2.8
Reinforcement ring, femur cemented	6	2.4
Reinforcement ring, femur uncemented	1	0.4

\* acetabulum uncemented, femur cemented

\*\* acetabulum cemented, femur uncemented

#### Table 26

## Approach for conversion of hemiarthroplasty

Approach data only available from 2015 onward

Approach for conversion	2015-	-2016
	Ν	%
Posterior	36	34.3
Lateral	28	26.7
Anterolateral	22	21.0
Anterior	14	13.3
Transfemoral	3	2.9
Other approach	2	1.9

## 7. Knee arthroplasty

### 7.1 Primary total knee arthroplasty

Among the 58'339 primary TKAs documented over the past five years, 61% were performed in women (Table 28, see next page). The mean age of 69 years at surgery was constant during the documented period. In the age group 55-64 years, 23.3% of TKAs were performed and 36.9% in patients aged between 65 and 74 years.

The number of TKAs in younger patients (younger than 45 and in the age group 45–54 years old) and patients older than 85 years has remained consistently low over the past 5 years.

Morbidity state (ASA classification) and body mass index (BMI) were only recorded from 2015. The proportion of missing BMIs decreased from 24.8% in 2015 to 20.5% in 2016 and needs to be further improved. Among those with a known value, the mean BMI was 29.4 kg/m<sup>2</sup>. Obese patients (BMI  $\ge$  30 kg/ m<sup>2</sup>) constituted 39.2% of the total knee arthroplasty patients in Switzerland. The age, at which total knee arthroplasty was undertaken, decreased with increasing BMI category (Figure 8).

Patients receiving a TKA had an ASA classification 1 or 2 in 72.7% of the cases. In 10.7%, the morbidity state was not specified. This proportion was somewhat lower than in 2015 and further reductions needs to be achieved.

## Figure 8 Primary total knee arthroplasty: BMI according to age



## Primary total knee arthroplasty: Baseline patient characteristics by year

BMI and ASA class data areonly available from 2015 onwards

Baseline patient ch	naracteristics	2012	2013	2014	2015	2016	All
Ν		4731	12927	13263	13153	14265	58339
Diagnosis (%)	Primary OA	95.9	96.4	96.7	88	88.3	92.5
	Secondary OA	4.1	3.6	3.3	12	11.7	7.5
	Inflammatory origin	1.1	0.9	0.9	1.2	1.1	1
	Fracture	0.6	0.4	0.5	2.3	2	1.3
	Lesion of ligament				4.7	5.1	2.3
	Infection				0.2	0.2	0.1
	Osteonecrosis	1.8	1.7	1.4	2.2	1.8	1.8
	Other	0.6	0.6	0.5	1.4	1.4	1
Women (%)		59.4	61.1	60.7	61.3	61.2	60.9
Mean age (SD)	All	68.8 (10.4)	69.2 (10.7)	69.2 (10.4)	69.4 (10)	69.3 (9.7)	69.2 (10.2)
	Women	69.5 (10.4)	70 (10.6)	69.8 (10.7)	70.1 (10)	70 (9.7)	69.9 (10.2)
	Men	67.7 (10.3)	67.9 (10.6)	68.2 (10)	68.3 (9.8)	68.3 (9.6)	68.2 (10)
Age group (%)	<45	1.1	1.2	0.9	0.7	0.6	0.9
	45-54	7	6.4	6.5	6.6	6.6	6.6
	55–64	24	23	23.2	23.4	23.5	23.3
	65–74	36.5	36.3	37	36.7	37.4	36.9
	75-84	27.3	28.4	28	28	27.7	27.9
	85+	4.1	4.7	4.4	4.6	4.2	4.4
BMI unknown (N/%	)				3258/24.8	2886/20.2	6144
BMI known (N)					9895	11379	21274
Mean BMI (SD)					29.4 (6.1)	29.5 (5.6)	29.4 (5.9)
BMI (%)	<18.5				0.5	0.4	0.4
	18.5–24.9				21.1	21.1	21.1
	25–29.9				39.6	38.9	39.2
	30-34.9				24.2	24.5	24.4
	35–39.9				10.1	10.5	10.3
	40+				4.5	4.6	4.5
ASA unknown (N/%					1701/12.9	1541/10.8	3242
ASA known (N)					11452	12724	24176
Morbidity state (%)	ASA 1				11.8	9.7	10.7
	ASA 2				61.5	62.5	62
	ASA 3				26.4	27.4	26.9
	ASA 4/5				0.3	0.3	0.3

## Baseline patient characteristics of primary total knee arthroplasties by hospital service volume

Calculations of hospital service volumes based on all primary and revision knee surgeries in 2016. BMI and ASA class data are only available from 2015 onwards

<b>Baseline patie</b>	nt characteristics	<100	100–199	200–299	300+
N 2012-2016		16791	17577	14079	9892
Women (%)		60.9	60.3	60.9	62.2
Mean age (SD)	All	69.5 (10.5)	69.4 (10)	68.8 (10.4)	69 (9.7)
	Women	70 (10.5)	70.2 (10)	69.5 (10.5)	69.7 (9.7)
	Men	68.6 (10.3)	68.2 (9.9)	67.7 (10.2)	67.9 (9.6)
Age group (%)	<45	0.9	0.7	1	0.8
	45-54	6.3	6.3	6.7	7.3
	55-64	22.6	23.3	24.5	23
	65–74	36.5	36.6	37.3	37.4
	75-84	29	28.4	26.4	27.6
	85+	4.7	4.7	4.1	3.9
Diagnosis [%]	Primary OA	88.6	88.5	89.2	86.6
	Secondary OA	11.4	11.5	10.8	13.4
N 2015-2016		7536	8384	6699	4721
BMI unknown (I	N/%)	1962/26.0	1874/22.4	1775/26.5	455/9.6
BMI known (N)		5574	6510	4924	4266
Mean BMI (SD)		29.6 (5.9)	29.6 (5.8)	29.5 (6.1)	28.9 (5.6)
BMI (%)	<18.5	0.4	0.3	0.5	0.7
	18.5–24.9	20.2	20.8	20.7	23.2
	25–29.9	38.6	37.9	39.5	41.8
	30-34.9	25	25.3	24.6	22.1
	35–39.9	11	10.9	10.5	8.4
	40+	4.9	4.9	4.3	3.9
ASA unknown (	N/%)	776/10.3	1101/13.1	1169/17.5	118/2.5
ASA known (N)		6760	7283	5530	4603
ASA state (%)	ASA 1	12.6	11.8	9	8.3
	ASA 2	62.9	62.8	63.9	57.2
	ASA 3	24.1	25.1	26.8	34.2
	ASA 4/5	0.4	0.3	0.3	0.3

## Primary total knee arthroplasty: Surgery characteristics

Surgery characteristics		201	2–2014	2015–2016	
		N	%	N	%
Previous surgery	None	20898	67.4	17721	64.8
	Knee arthroscopy	6635	21.4	4841	17.7
	Meniscectomy			4500	16.5
	ACL reconstruction			1063	3.9
	Osteotomy tibia close to knee	852	2.7	907	3.3
	Osteosynthesis tibia close to knee	481	1.6	347	1.3
	Surgery for patella stabilization	440	1.4	354	1.3
	Synovectomy			215	0.8
	Osteotomy femur close to knee	163	0.5	148	0.5
	Osteosynthesis femur close to knee	157	0.5	124	0.5
	Surgery for treating infection	92	0.3	44	0.2
	Surgery for tumor			5	0.0
	Ligament reconstruction	1213	3.9		
	Other	1921	6.2	903	3.3
Intervention	CS (cruciate sacrificing) / UCOR			9501	34.8
	Unlinked posterior stabilized	8327	26.9	7854	28.7
	PCR (posterior cruciate retaining)			7075	25.9
	CCK (constrained condylar knee)*	1706	5.5	610	2.2
	BCR (bicruciate retaining)			485	1.8
	Hinge type	608	2.0	388	1.4
	Unlinked cruciate retaining	7098	22.9		
	Unlinked meniscal	3115	10.0		
	Unlinked rotating	9211	29.7		
	Other	934	3.0	1427	5.2
Technology	Conventional	22781	73.5	19791	72.4
	Computer assisted	3769	12.2	3460	12.7
	Patient specific instrumentation	2649	8.5	3197	11.7
	Minimal invasive	2559	8.3	1756	6.4
	Other			245	0.9

\* Includes «unlinked semi-constrained»

Of the total number of TKA patients, 65% had never undergone knee surgery before (Table 30). Previous knee arthroscopy was mentioned in 17.7% of cases, and meniscectomy in 16.5% of cases. 3.9% of patients had had a previous ACL reconstruction, wile 3.3% of patients had had a tibial- and 0.5% a femoral osteotomy, respectively. Posttraumatic osteoarthritis following tibial or femoral fractures close to the knee was documented in 1.8% of the primary TKAs. In primary TKAs, there was a clear trend towards all – cemented fixation (71% in 2016) during the past five years (Table 31, Figure 9), whereas the use of cementless TKA (0.9% in 2016) and hybrid fixation (28.1% in 2016) decreased. In three quarters of the primary cases, the patella was not resurfaced (Table 32).

#### Table 31

## Primary total knee arthroplasty: Component fixation

Total numbers per year

Component fixation	Ν	%	2012	2013	2014	2015	2016
Femur uncemented – Tibia uncemented	4027	6.9	475	1240	993	694	625
Femur cemented – Tibia uncemented	529	0.9	31	82	96	247	73
Femur uncemented – Tibia cemented	12367	21.2	1310	3337	2970	2377	2373
Femur cemented – Tibia cemented	41416	71.0	2915	8268	9204	9835	11194
Total	58339	100	4731	12927	13263	13153	14265

#### Figure 9

## Primary total knee arthroplasty: Component fixation by year

Percentage per year



### Table 32

Primary total knee arthroplasty: Patellar component

	Ν	%
No	43721	74.9
Yes	14603	25.0
Status after patellectomy	15	0.1

## 7.2 Primary partial knee arthroplasty

Of all primary knee arthroplasties, 14.3% were partial knee replacements (Table 3). The proportion has remained constant over the past five years and is with over 14% the highest in the international community, including the United Kingdom (about 9% in 2015).

Of the total number of procedures, 51% were performed in women. Mean age at surgery was almost 65 years (Table 33). In the younger age groups partial knee replacement was performed in 2% of patients younger than 45 years and 13.8% in the group between 45 to 54 years old. Elderly patients constituted 18% of the partial knee replacements performed – 16% belonged to the group aged 75–84 years and 2.1% to the group aged 85 years and older. The mean BMI was 28.3 kg/m<sup>2</sup> in the partial knee replacement group. The BMI was not recorded in 26.5% of cases. Of the total number of patients, 86% had an ASA classification of 1 or 2. In 11.2% of patients the morbidity state was not recorded.

In total, 80% of partial knee replacements were performed in hospitals that carry out more than 100 interventions per year (Table 34).

## Primary unicompartmental knee arthroplasty: Baseline patient characteristics by year

2012–2016. BMI and ASA class data are only available from 2015 onwards

Baseline patient cha	aracteristics by year	2012	2013	2014	2015	2016	All
Ν		852	2147	2091	2278	2341	9709
Diagnosis (%)	Primary OA	93.1	93.7	94.4	89.5	91.4	92.2
	Secondary OA	6.9	6.3	5.6	10.5	8.6	7.8
	Inflammatory origin	0.2	0.1	0.1	0.4	0	0.2
	Fracture	0.5	0.2	0.1	0.7	0.6	0.4
	Lesion of ligament				1.4	1.4	0.7
	Infection				0.1	0	0
	Osteonecrosis	5.6	5.6	5.2	5.8	4.9	5.4
	Other	0.6	0.3	0.1	2.1	1.6	1
Women (%)		50.8	50.5	50.5	52	49.2	50.6
Mean age (SD)	All	64.6 (10.9)	65.1 (10.1)	65.1 (10.2)	64.7 (10.5)	64.3 (10)	64.8 (10.3)
	Women	64.3 (11.7)	65.8 (10)	65.4 (10.6)	64.5 (11.1)	64 (10.4)	64.8 (10.6)
	Men	65 ( 9.9)	64.4 (10.2)	64.8 (9.7)	64.9 (9.9)	64.6 (9.7)	64.7 (9.9)
Age group (%)	<45	2.5	1.4	1.7	2.5	2.1	2
	45–54	12.8	12.7	13.6	14	15.1	13.8
	55–64	35.6	33.7	32.2	32.4	34.6	33.4
	65–74	31.6	33.6	34.4	32.5	30.8	32.7
	75–84	15.3	16.4	16.1	16.3	15.5	16
	85+	2.3	2.1	2	2.2	2	2.1
BMI unknown (N/%)					676/29.7	542/23.2	1218
BMI known (N)					1602	1799	3401
Mean BMI (SD)					28.2 (4.8)	28.4 (4.7)	28.3 (4.7)
BMI (%)	<18.5				0.9	0.4	0.7
	18.5–24.9				26.7	25	25.8
	25–29.9				42.4	42.4	42.4
	30-34.9				20.8	23.3	22.2
	35-39.9				7.4	7.1	7.2
	40+				1.7	1.8	1.7
ASA unknown (N/%)					291/12.8	257/11.0	548
ASA known (N)					1987	2084	4071
ASA state (%)	ASA 1				21.8	20.4	21.1
	ASA 2				64.1	64.9	64.5
	ASA 3				14	14.6	14.3
	ASA 4/5				0.2	0.1	0.1

## Primary unicompartmental knee arthroplasty: Baseline patient characteristics by hospital service volume

Calculations of hospital service volumes based on all primary and revision knee surgeries in 2016. BMI data class are only available from 2015 onwards

<b>Baseline patie</b>	nt characteristics	<100	100–199	200–299	300+
N 2012-2016		2410	2218	2632	2449
Women (%)		53.6	49	49.7	49.9
Mean age (SD)	All	64.7 (10.6)	64.5 (9.8)	64.5 (10.4)	65.4 (10.1)
	Women	64.5 (11)	64.9 (10.1)	64.5 (10.7)	65.5 (10.5)
	Men	64.9 (10.1)	64.1 (9.4)	64.5 (10.1)	65.3 (9.7)
Age group (%)	<45	2.1	1.6	2.1	2.1
	45-54	14.2	14	14.4	12.6
	55-64	33.3	36.2	33	31.6
	65–74	31.7	32.5	32.8	33.7
	75-84	16.4	13.8	15.8	17.8
	85+	2.3	1.9	1.9	2.2
Diagnosis [%]	Primary OA	92.3	93	90.7	93.2
	Secondary OA	7.7	7	9.3	6.8
N 2015-2016		1173	1034	1343	1067
BMI unknown (I	N/%)	415/35.4	289/27.9	300/22.3	212/19.9
DALL In anna (NI)		750	745	1043	055
BMI known (N)		758	745	1045	855
Mean BMI (SD)		28.7 (4.9)	28.2 (4.5)	28.4 (4.9)	855 27.9 (4.6)
. ,	<18.5				
Mean BMI (SD)	<18.5 18.5–24.9	28.7 (4.9)	28.2 (4.5)	28.4 (4.9)	27.9 (4.6)
Mean BMI (SD)		28.7 (4.9) 0.4	28.2 (4.5) 0.8	28.4 (4.9) 0.8	27.9 (4.6) 0.7
Mean BMI (SD)	18.5-24.9	28.7 (4.9) 0.4 23.7	28.2 (4.5) 0.8 25.2	28.4 (4.9) 0.8 25.3	27.9 (4.6) 0.7 28.8
Mean BMI (SD)	18.5–24.9 25–29.9	28.7 (4.9) 0.4 23.7 42	28.2 (4.5) 0.8 25.2 44.8	28.4 (4.9) 0.8 25.3 42.1	27.9 (4.6) 0.7 28.8 40.9
Mean BMI (SD)	18.5–24.9 25–29.9 30–34.9	28.7 (4.9) 0.4 23.7 42 23.4	28.2 (4.5) 0.8 25.2 44.8 20.8	28.4 (4.9) 0.8 25.3 42.1 22.4	27.9 (4.6) 0.7 28.8 40.9 22
Mean BMI (SD)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+	28.7 (4.9) 0.4 23.7 42 23.4 8.4	28.2 (4.5) 0.8 25.2 44.8 20.8 7	28.4 (4.9) 0.8 25.3 42.1 22.4 7.7	27.9 (4.6) 0.7 28.8 40.9 22 5.8
Mean BMI (SD) BMI (%)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+	28.7 (4.9) 0.4 23.7 42 23.4 8.4 2.1	28.2 (4.5) 0.8 25.2 44.8 20.8 7 1.3	28.4 (4.9) 0.8 25.3 42.1 22.4 7.7 1.7	27.9 (4.6) 0.7 28.8 40.9 22 5.8 1.8
Mean BMI (SD) BMI (%) ASA unknown (	18.5–24.9 25–29.9 30–34.9 35–39.9 40+	28.7 (4.9) 0.4 23.7 42 23.4 8.4 2.1 147/12.5	28.2 (4.5) 0.8 25.2 44.8 20.8 7 1.3 136/13.2	28.4 (4.9) 0.8 25.3 42.1 22.4 7.7 1.7 221/16.5	27.9 (4.6) 0.7 28.8 40.9 22 5.8 1.8 42/3.9
Mean BMI (SD) BMI (%) ASA unknown (I ASA known (N)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+ N/%)	28.7 (4.9) 0.4 23.7 42 23.4 8.4 2.1 147/12.5 1026	28.2 (4.5) 0.8 25.2 44.8 20.8 7 1.3 136/13.2 898	28.4 (4.9) 0.8 25.3 42.1 22.4 7.7 1.7 221/16.5 1122	27.9 (4.6) 0.7 28.8 40.9 22 5.8 1.8 42/3.9 1025
Mean BMI (SD) BMI (%) ASA unknown (I ASA known (N)	18.5–24.9 25–29.9 30–34.9 35–39.9 40+ N/%) ASA 1	28.7 (4.9) 0.4 23.7 42 23.4 8.4 2.1 147/12.5 1026 21.1	28.2 (4.5) 0.8 25.2 44.8 20.8 7 1.3 136/13.2 898 27.1	28.4 (4.9) 0.8 25.3 42.1 22.4 7.7 1.7 221/16.5 1122 20	27.9 (4.6) 0.7 28.8 40.9 22 5.8 1.8 42/3.9 1025 17.1

## Primary unicompartmental knee arthroplasty: Surgery characteristics

None         %         N           None         3184         62.5         2767         59.9           Knee arthroscopy         1614         31.7         1077         23.3           Meniscectomy         1614         31.7         1077         23.3           Meniscectomy         1035         22.4           Osteotomy tibia close to knee         71         1.4         66         1.4           ACL reconstruction         56         1.2         55         1.2           Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4         0.4         0.4         0.4           Osteosynthesis tibia close to knee         9         0.2         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for tumor         1         0.0         1         0.0           Ligament reconstruction         83         1.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           F	Surgery characteristics	2012–2014		2015	5-2016	
None         3184         62.5         2767         59.9           Knee arthroscopy         1614         31.7         1077         23.3           Meniscectomy         1035         22.4           Osteotomy tibia close to knee         71         1.4         66         1.4           ACL reconstruction         56         1.2         56         1.2           Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4         0.4         0.3         0.5         0.4         0.4         0.3           Osteosynthesis tibia close to knee         32         0.6         16         0.3         0.2         9         0.2           Surgery for treating infection         4         0.1         9         0.2         0.2         0.1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.0         1         0.1         1.4         0.1         1.4         0.1         1.4         0.1         1.5         1.4         0.1         1.5		N	%	N	%	
Knee arthroscopy         1614         31.7         1077         23.3           Meniscectomy         1035         22.4           Osteotomy tibia close to knee         71         1.4         66         1.4           ACL reconstruction         56         1.2         56         1.2           Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4         0.5         0.5         0.2         9         0.2           Osteosynthesis tibia close to knee         32         0.6         16         0.3         0.5         0.2         9         0.2           Osteosynthesis femur close to knee         9         0.2         9         0.2         0.1         0.1	Previous surgery					
Meniscectomy         1035         22.4           Osteotomy tibia close to knee         71         1.4         66         1.4           ACL reconstruction         56         1.2           Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4         0.4           Osteosynthesis tibia close to knee         32         0.6         16         0.3           Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         83         1.6         1.4           Ligament reconstruction         83         1.6         1.4           Other         232         4.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         1.4         6.1           Unicompartment lateral	None	3184	62.5	2767	59.9	
Osteotomy tibia close to knee         71         1.4         66         1.4           ACL reconstruction         56         1.2           Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4         0.4           Osteosynthesis tibia close to knee         32         0.6         16         0.3           Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for tumor         1         0.0         1         0.0           Ligament reconstruction         83         1.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         348         6.8         281         6.1           Unicompartment lateral         348         6.8         281         6.1           Technology         1572         30.9         1287	Knee arthroscopy	1614	31.7	1077	23.3	
ACL reconstruction       56       1.2         Surgery for patella stabilization       11       0.2       56       1.2         Synovectomy       17       0.4         Osteosynthesis tibia close to knee       32       0.6       16       0.3         Osteotomy femur close to knee       9       0.2       9       0.2         Osteosynthesis femur close to knee       4       0.1       9       0.2         Surgery for treating infection       4       0.1       4       0.1         Surgery for treating infection       4       0.1       4       0.1         Surgery for treating infection       83       1.6       113       2.4         Other       232       4.6       113       2.4         Intervention       232       4.6       113       2.4         Unicompartment medial       4744       93.2       3997       86.6         Femoropatellar       348       6.8       281       6.1         Unicompartment lateral       348       6.8       281       6.1         Technology       1572       30.9       1287       27.9	Meniscectomy			1035	22.4	
Surgery for patella stabilization         11         0.2         56         1.2           Synovectomy         17         0.4           Osteosynthesis tibia close to knee         32         0.6         16         0.3           Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         83         1.6         10.0         1         0.0           Ligament reconstruction         83         1.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         1.1         1.1           Unicompartment lateral         348         6.8         281         6.1           Technology         1         3295         64.7         3112         67.4           Minimal invasi	Osteotomy tibia close to knee	71	1.4	66	1.4	
Synovectomy         17         0.4           Osteosynthesis tibia close to knee         32         0.6         16         0.3           Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Ligament reconstruction         83         1.6         113         2.4           Other         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         131         2.4           Unicompartment lateral         348         6.8         281         6.1           Technology         7.3         7.3         7.3         7.3           Minimal invasive         1572         30.9         1287         27.9	ACL reconstruction			56	1.2	
Osteosynthesis tibia close to knee         32         0.6         16         0.3           Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         83         1.6         10.0         1         0.0           Ligament reconstruction         83         1.6         113         2.4         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         348         6.8         281         6.1           Unicompartment lateral         348         6.8         281         6.1           Technology         200         64.7         3112         67.4           Minimal invasive         1572         30.9         1287 <td>Surgery for patella stabilization</td> <td>11</td> <td>0.2</td> <td>56</td> <td>1.2</td>	Surgery for patella stabilization	11	0.2	56	1.2	
Osteotomy femur close to knee         9         0.2         9         0.2           Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for treating infection         4         0.1         4         0.1           Surgery for tumor         1         0.0         1         0.0           Ligament reconstruction         83         1.6         113         2.4           Other         232         4.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         348         6.8         281         6.1           Unicompartment lateral         348         6.8         281         6.1           Technology         21         30.9         1287         27.9	Synovectomy			17	0.4	
Osteosynthesis femur close to knee         4         0.1         9         0.2           Surgery for treating infection         4         0.1         4         0.1           Surgery for tumor         1         0.0         1         0.0           Ligament reconstruction         83         1.6         1         2.4           Other         232         4.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         0.1           Unicompartment lateral         348         6.8         281         6.1           Technology         5295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Osteosynthesis tibia close to knee	32	0.6	16	0.3	
Surgery for treating infection       4       0.1       4       0.1         Surgery for tumor       1       0.0         Ligament reconstruction       83       1.6       11       2.4         Other       232       4.6       113       2.4         Intervention       232       4.6       113       2.4         Unicompartment medial       4744       93.2       3997       86.6         Femoropatellar       339       7.3       3112       61.1         Unicompartment lateral       348       6.8       281       6.1         Technology       225       64.7       3112       67.4         Minimal invasive       1572       30.9       1287       27.9	Osteotomy femur close to knee	9	0.2	9	0.2	
Surgery for tumor       1       0.0         Ligament reconstruction       83       1.6         Other       232       4.6       113       2.4         Intervention       232       4.6       113       2.4         Unicompartment medial       4744       93.2       3997       86.6         Femoropatellar       339       7.3         Unicompartment lateral       348       6.8       281       6.1         Technology       50.9       564.7       3112       67.4         Minimal invasive       1572       30.9       1287       27.9	Osteosynthesis femur close to knee	4	0.1	9	0.2	
Ligament reconstruction         83         1.6           Other         232         4.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         3112         61.1           Unicompartment lateral         348         6.8         281         61.1           Technology         200         200         1287         27.9	Surgery for treating infection	4	0.1	4	0.1	
Other         232         4.6         113         2.4           Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3           Unicompartment lateral         348         6.8         281         6.1           Technology         Conventional         3295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Surgery for tumor			1	0.0	
Intervention         232         4.6         113         2.4           Unicompartment medial         4744         93.2         3997         86.6           Femoropatellar         339         7.3         3197         86.6           Unicompartment lateral         348         6.8         281         6.1           Technology         Conventional         3295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Ligament reconstruction	83	1.6			
Unicompartment medial       4744       93.2       3997       86.6         Femoropatellar       339       7.3         Unicompartment lateral       348       6.8       281       6.1         Technology       Conventional       3295       64.7       3112       67.4         Minimal invasive       1572       30.9       1287       27.9	Other	232	4.6	113	2.4	
Femoropatellar         339         7.3           Unicompartment lateral         348         6.8         281         6.1           Technology         500         500         500         500         500           Conventional         3295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Intervention	232	4.6	113	2.4	
Unicompartment lateral         348         6.8         281         6.1           Technology <t< td=""><td>Unicompartment medial</td><td>4744</td><td>93.2</td><td>3997</td><td>86.6</td></t<>	Unicompartment medial	4744	93.2	3997	86.6	
Technology           Conventional         3295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Femoropatellar			339	7.3	
Conventional         3295         64.7         3112         67.4           Minimal invasive         1572         30.9         1287         27.9	Unicompartment lateral	348	6.8	281	6.1	
Minimal invasive         1572         30.9         1287         27.9	Technology					
	Conventional	3295	64.7	3112	67.4	
Dations specific instrumentation 226 4.6 219 4.7	Minimal invasive	1572	30.9	1287	27.9	
Fatient specific instrumentation 256 4.6 218 4.7	Patient specific instrumentation	236	4.6	218	4.7	
Computer assisted         30         0.6         14         0.3	Computer assisted	30	0.6	14	0.3	
Other 14 0.3	Other			14	0.3	

Almost 60% of the patients had not undergone any form of surgery before partial knee replacement surgery, 23.3% had had previous arthroscopy of the knee, 22.4% a meniscectomy, 1.2% previous ACL reconstruction, and 1.4% had undergone an osteotomy close to the knee (Table 35).

Medial unicompartmental replacement was performed in 86.6% of cases, lateral in 6.1% of cases, and patello-femoral replacement in 7.3% of cases.

Over the past 5 years the use of cementless fixation has continually increased from 2.8% in 2012 to 14.8% in 2016. Hybrid fixation was used in 1.1% of cases. In total, 91% of partial knee replacements were fully cemented (Table 36, Figure 10).

### Table 36 Primary unicompartmental knee arthroplasty: Component fixation

Total numbers by year

Component fixation	Ν	%	2012	2013	2014	2015	2016
Femur uncemented – Tibia uncemented	700	7.5	24	72	90	193	321
Femur cemented – Tibia uncemented	37	0.4	2	9	9	7	10
Femur uncemented – Tibia cemented	105	1.1	6	28	39	15	17
Femur cemented – Tibia cemented	8528	91.0	820	2038	1953	1897	1820
Total	9370	100	852	2147	2091	2112	2168

## Figure 10 Primary unicompartmental knee arthroplasty: **Component fixation by year**



Percentage by year

## 7.3 Revision of knee arthroplasty

Of the 74'567 knee arthroplasty procedures recorded in SIRIS between September 2012 and December 2016, 7'419 were revisions of total or partial knee arthroplasties. This corresponds to a revision burden of 9.9% (Table 3). Of those revisions, 59% were performed in women. Mean age at revision surgery was 68.2 years (Table 37). Similar to primary total knee arthroplasty, revision was infrequent in younger and older patients (1.5% in patients younger than 45 years old; 8.2% in the age group 45-54years; 4.8% in patients older than 85 years, respectively). The mean BMI was at 29.6 kg/m<sup>2</sup>. The proportion of missing data was 29.6%. The morbidity state was rated ASA class 1 or 2 in 62.2% of cases where this information was provided. In 13.5% of cases morbidity state was not recorded.

#### Table 37

### Revision knee arthroplasty: Baseline patient characteristics by year

2012–2015, BMI and ASA class data only available from 2015 onwards

Baseline patient c	haracteristics	2012	2013	2014	2015	2016	All
Ν		529	1464	1605	1734	2087	7419
Women (%)		59.5	60.9	57.4	58.8	59	59
Mean age (SD)	All	68.5 (9.9)	68.2 (10.7)	67.5 (11.4)	68.3 (10.9)	68.5 (10.4)	68.2 (10.8)
	Women	69.2 (10.1)	68.6 (11.1)	68.2 (12)	68.8 (11)	69.3 (10.5)	68.8 (11)
	Men	67.5 (9.5)	67.5 (10.1)	66.7 (10.5)	67.7 (10.6)	67.3 (10.3)	67.3 (10.3)
Age group (%)	<45	0.8	1.6	2.1	1.6	1.2	1.5
	45-54	7.2	8	9.1	8.6	7.8	8.2
	55-64	25	25.1	25.9	24.8	25.8	25.4
	65–74	38.9	35.8	34	35.1	35.9	35.5
	75-84	25.1	25.3	24.2	25	23.8	24.5
	85+	3	4.2	4.7	5	5.5	4.8
BMI unknown (N/%	) )				484/27.9	590/28.3	1074
BMI known (N)					1250	1497	2747
Mean BMI (SD)					29.4 (5.7)	29.8 (7.2)	29.6 (6.6)
BMI (%)	<18.5				0.9	1.1	1
	18.5-24.9				22.2	18.5	20.2
	25–29.9				36.2	38	37.2
	30-34.9				25.8	26.4	26.1
	35-39.9				10.8	11.2	11
	40+				4.1	4.9	4.5
ASA unknown (N/%	6)				260/15.0	281/13.5	541
ASA known (N)					1474	1806	3280
ASA state (%)	ASA 1				9.2	7.8	8.4
	ASA 2				53.1	54.4	53.8
	ASA 3				36.5	36.3	36.4
	ASA 4/5				1.3	1.5	1.4

Patella problems were the leading cause for revision knee arthroplasty with 21.8%, followed by loosening of the tibial component in 20.2% of cases, and infection in 18% of cases (Table 38). Grouping together patella problems, patellar instability and loosening, femoropatellar problems were responsible for 25% of revisions. Femorotibial instability of the primary TKA was the reason for revision in 14.5% of cases, and loosening of the femur in 12.7%. 12.1% of knees were revised because of painful TKA. Wear of the inlay was only recorded in 5.9% of the revisions. In 34.2% of cases, the revision was complete, in 15.4% only an inlay exchange was performed (Table 48). In 13.2% of patients a secondary resurfacing of the patella was performed, in a further 3.6% this was combined with an exchange of the tibial insert. Including 3.6% of patellar revisions without resurfacing, patellar revisions were performed in 20% of revisions. Of these revisions, 8% were conversions from a partial to a total knee arthroplasty. In 83.8% of cases fully cemented versions were used; hybrid fixation was performed in 9.2% of cases, and uncemented fixation in 6.5% of cases (Table 39).

### Table 38

### Reason for revision of knee arthroplasty

Multiple reasons are possible per patient. The reasons for revision categories as listed below are only available from 2015 onwards

Reason for revision	2015	-2016
	Ν	%
Patella problems	832	21.8
Loosening tibia	769	20.2
Infection	687	18.0
Femorotibial instability	552	14.5
Loosening femur	483	12.7
Pain	463	12.1
Wear of inlay	226	5.9
Joint stiffness/arthrofibrosis	213	5.6
Progression of unicomp. OA	188	4.9
Component malposition femur	174	4.6
Component malposition tibia	162	4.2
Patellar instability	72	1.9
Loosening patella	71	1.9
Periprosthetic fracture femur	69	1.8
Sizing femoral component	44	1.2
Periprosthetic fracture tibia	33	0.9
Sizing tibial component	22	0.6
Periprosthetic fracture patella	9	0.2
Other	393	10.3

## Surgery characteristics of revision of knee arthroplasty

Surgery characteristics				-2016
Intervention type	Ν	%	N	%
Complete revision	1724	47.8	1302	34.2
Exchange of PE	502	13.9	588	15.4
Subsequent patella replacement	353	9.8	505	13.2
Conversion from unicompartimental to TKA			304	8.0
Tibial revision	261	7.2	221	5.8
Reimplantation of prosthesis	190	5.3	213	5.6
Subsequent patella prosthesis with exchange of PE			137	3.6
Patella revision	189	5.2	122	3.2
Component removal with spacer implantation	124	3.4	118	3.1
Femoral revision	103	2.9	97	2.5
Prosthesis preserving revision			37	1.0
Component removal without spacer implantation			16	0.4
Osteosynthesis			16	0.4
Arthrodesis	1	0.0	8	0.2
Other	160	4.4	128	3.4
Type of arthroplasty				
Unlinked posterior stabilised	896	27.0	537	25.1
Hinge type	520	15.7	366	17.1
CS (cruciate sacrificing) / UCOR			312	14.6
CCK constrained condylar knee*	519	15.6	597	27.9
PCR (posterior cruciate retaining)			188	8.8
Unicompartment medial	74	2.2	27	1.3
BCR (bicruciate retaining)			20	0.9
Patellofemoral			6	0.3
Unicompartment lateral	6	0.2		
Unlinked rotating	541	16.3		
Unlinked cruciate retaining	368	11.1		
Unlinked meniscal	187	5.6		
Other	211	6.4	87	4.1
Technology	3043	84.4	3205	84.1
Conventional	113	3.1	133	3.5
Computer assisted	152	4.2	129	3.4
Minimal invasive	31	0.9	52	1.4
Patient specific instrumentation			15	0.4
Other			14	0.4

\* Includes «unlinked semi-constrained»

### **Revision of knee arthroplasty: Component fixation**

Percentage by year. Status after revision

Component fixation	Ν	%	2012	2013	2014	2015	2016
Femur uncemented – Tibia uncemented	354	6.5	48	92	151	30	33
Femur cemented – Tibia uncemented	78	1.5	6	22	22	14	14
Femur uncemented – Tibia cemented	450	8.2	46	144	142	66	52
Femur cemented – Tibia cemented	4574	83.8	393	1094	1153	873	1061
Total	5456	100	493	1352	1468	983	1160

### Figure 11 Component fixation in revision knee arthroplasty by year

Component fixation only applicable when new components were implanted. Percentage by year



### Table 41

**Revision of knee arthroplasty: Patellar component** Status after revision

Patellar components	Ν	%
Without patellar replacement	3148	50.6
With patellar replacement	3067	49.2
Status after patellectomy	11	0.2

# 7.4 First revision of a primary total knee arthroplasty

Documented since 2012. Table 42 includes all first revisions of primary TKAs registered since the implementation of the registry in September 2012. Overall, 1'327 of the 58'339 primary TKAs had to be revised, corresponding to a 2-year revision rate of 2.3%. These revisions were performed to 88% at the same institution as the primary implantation.

712 primary TKAs (61.1%) were revised within the first year after the index surgery, 453 TKAs (38.9%) during the second year, resulting in 1'165 revisions

Table 42

## First revision of primary total knee arthroplasty: Baseline patient characteristics

<b>Baseline patien</b>	t characteristics	Primary	Rev	<b>ised</b>	Revised in same service		
		Ν	Ν	%	Ν	%	
Overall 2012-20	016	58339	1327	2.3	1168	88.0	
Diagnosis	Primary OA	53977	1241	2.3	1096	88.3	
	Secondary OA	4362	86	2.0	72	83.7	
Overall Primary OA 2012–2016		53977	1241	2.3	1097	88.4	
Gender	Women	33243	728	2.2	648	89.0	
	Men	20734	513	2.5	448	87.3	
Age group	<55	3606	138	3.8	124	89.9	
	55-64	12343	371	3.0	314	84.6	
	65–74	20246	426	2.1	384	90.1	
	75-84	15378	273	1.8	244	89.4	
	85+	2404	33	1.4	30	90.9	
Overall primary	OA (2015–2016)	24092	382	1.6	326	85.3	
BMI group	<18.5	75	0	0.0	0		
	18.5–24.9	3770	58	1.5	45	77.6	
	25–29.9	7281	119	1.6	106	89.1	
	30-34.9	4612	70	1.5	59	84.3	
	35-39.9	2027	35	1.7	32	91.4	
	40+	902	17	1.9	14	82.4	
	BMI unknown	5425	83	1.5	70	84.3	
ASA state	ASA 1	2143	41	1.9	34	82.9	
	ASA 2	13210	187	1.4	162	86.6	
	ASA 3	5810	114	2.0	99	86.8	
	ASA 4/5	73	3	4.1	3	100.0	
	ASA unknown	2856	37	1.3	28	75.7	

or a 2% revision rate within 24 months (Table 43). The risk of revision clearly depended on the patient's age and BMI and was higher for younger and/ or heavier patients, even more so at 24 than at 12 months. Patella problems constituted the main reason for an early revision (Table 45). Together with patellar instability and patella loosening, the patella led to 37% of early revisions within 24 months after index surgery. They were followed by periprosthetic infection, pain, femoro-tibial instability, and early

Table 43

First revision of primary total knee arthroplasty within 12 months and 24 months: Baseline patient characteristics

Baseline patient	t characteristics	Primary	Revis	sed wi	thin 12 r	nonths	Revi	Revised within 24 mo		
			Rev	vised	<b>9</b> 5%	S CI	Rev	vised	95%	S CI
		Ν	Ν	%	lower	upper	Ν	%	lower	upper
Overall 2012-20	16	58339	712	1.2	1.1	1.3	1165	2.0	1.9	2.1
Diagnosis	Primary OA	53977	653	1.2	1.1	1.3	1086	2.0	1.9	2.1
	Secondary OA	4362	59	1.4	1.0	1.7	79	1.8	1.4	2.2
Overall Primary (	DA 2012–2016	53977	653	1.2	1.1	1.3	1086	2.0	1.9	2.1
Gender	Women	33243	376	1.1	1.0	1.2	623	1.9	1.7	2.0
	Men	20734	277	1.3	1.2	1.5	463	2.2	2.0	2.4
Age group [%]	<55	3606	65	1.8	1.4	2.2	115	3.2	2.6	3.8
	55-64	12343	181	1.5	1.3	1.7	320	2.6	2.3	2.9
	65–74	20246	202	1.0	0.9	1.1	367	1.8	1.6	2.0
	75-84	15378	179	1.2	1.0	1.3	253	1.6	1.4	1.8
	85+	2404	26	1.1	0.7	1.5	31	1.3	0.8	1.7
Overall Primary (	DA 2015–2016	24092	279	1.2	1.0	1.3	382	1.6	1.4	1.7
BMI group	<18.5	75	0	0.0	0.0	0.0	0	0.0	0.0	0.0
	18.5-24.9	3770	40	1.1	0.7	1.4	58	1.5	1.1	1.9
	25–29.9	7281	89	1.2	1.0	1.5	119	1.6	1.3	1.9
	30-34.9	4612	49	1.1	0.8	1.4	70	1.5	1.2	1.9
	35-39.9	2027	28	1.4	0.9	1.9	35	1.7	1.2	2.3
	40+	902	14	1.6	0.7	2.4	17	1.9	1.0	2.8
	BMI unknown	5425	59	1.1	0.8	1.4	83	1.5	1.2	1.9
Morbidity state	ASA 1	2143	30	1.4	0.9	1.9	41	1.9	1.3	2.5
	ASA 2	13210	133	1.0	0.8	1.2	187	1.4	1.2	1.6
	ASA 3	5810	87	1.5	1.2	1.8	114	2.0	1.6	2.3
	ASA 4/5	73	2	2.7	-1.0	6.5	3	4.1	-0.4	8.7
	ASA unknown	2856	27	0.9	0.6	1.3	37	1.3	0.9	1.7

## First revision of primary total knee arthroplasty within 12 months and 24 months overall and according to component fixation

Component fixation Pr	rimary TKA	Revis	sed wi	ithin 12 r	nonths	hs Revised within 24 mon			
		Rev	vised	95% CI		Re	vised	95%	S CI
	Ν	Ν	%	lower	upper	Ν	%	lower	upper
Overall	53977	653	1.2	1.1	1.3	1086	2.0	1.9	2.1
Component fixation									
Femur and tibia cemented	38092	462	1.2	1.1	1.3	751	2.0	1.8	2.1
Femur and tibia uncemented	3808	52	1.4	1.0	1.7	93	2.4	2.0	2.9
Femur cemented, tibia uncemente	d 475	11	2.3	1.0	3.7	16	3.4	1.7	5.0
Femur uncemented, tibia cemente	d 11602	128	1.1	0.9	1.3	226	1.9	1.7	2.2
Patellar replacement									
With patellar replacement	13489	145	1.1	0.9	1.2	215	1.6	1.4	1.8
Without patellar replacement	40477	508	1.3	1.1	1.4	871	2.2	2.0	2.3
Status after patellectomy	11	0	0	0	0	0	0	0	0

### Table 45

## Reason for early first revision of primary total knee arthroplasty

Multiple reasons are possible per patient. The reasons for revision categories as listed below only are available from 2015 onwards

Reason for early first revision	2015-2016	
	Ν	%
Patella problems	288	33.7
Infection	143	16.7
Pain	114	13.3
Femorotibial instability	110	12.9
Loosening tibia	91	10.6
Joint stiffness/arthrofibrosis	68	8.0
Loosening femur	27	3.2
Patellar instability	23	2.7
Component malposition tibia	22	2.6
Component malposition femur	19	2.2
Wear of inlay	11	1.3
Loosening patella	8	0.9
Sizing femoral component	8	0.9
Progression of unicomp. OA	7	0.8
Periprosthetic fracture femur	6	0.7
Periprosthetic fracture tibia	5	0.6
Periprosthetic fracture patella	5	0.6
Sizing tibial component	3	0.4
Other	111	13.0

loosening of the tibia. Of the total number of revision reasons, 13% were classified as "other", which may cause underestimation and possibly distortion of the main reasons. Moreover, periprosthetic fractures may be underreported, as many of the institutions only register this complication when associated with revision of a prosthetic component. Figure 12 shows that prosthesis infection was detected and revised early after primary TKA, followed by stiffness, which was revised on average after 6-9months, whereas patella problems were revised on average after one year, similar to pain and early loosening of the tibia.

Figure 12 **Time interval between primary total knee arthroplasty and first revision by reason** N= 1327



### Table 46

## Median time interval between primary total knee arthroplasty and early first revision (in months) according to reason

Reason for early first revision	Ν	Median (IQR)
Patella problems	288	15 (11; 22)
Infection	248	4.1 (1.1; 13)
Pain	114	15 (8.9; 22)
Femoral instability	176	12 (5.1; 18)
Loosening tibia	142	14 (8.8; 22)
Joint stiffness/arthrofibrosis	117	9.4 (5.4; 16)
Other	569	11 (3.9; 17)

# 7.5 First revision of a primary partial knee arthroplasty

Documented since 2012, primary partial knee arthroplasty led to an early revision rate (major revision including the exchange of one or more components) in 3% of cases (Table 47). Of the total number of revisions, 87.8% were performed in the same institution. Patients younger than 65 years of age at the time of surgery underwent revision more often than those over 65 years of age.

### Table 47

## First revision of primary partial knee arthroplasty: Overall and according to baseline characteristics

Baseline patient characteristics Revised			Re same se	evised ervice	Revised within 12 months v			wit	Revised vithin 24 months					
							Revised 95% Cl		Rev	ised	95% CI			
		Ν	Ν	%	Ν	%	Ν	%	lower	upper	Ν	%	lower	upper
Overall		9709	294	3.0	258	87.8	151	1.6	1.3	1.8	240	2.5	2.2	2.8
Gender	Women	4911	144	2.9	123	85.4	73	1.5	1.1	1.8	122	2.5	2.0	2.9
	Men	4798	150	3.1	135	90.0	78	1.6	1.3	2.0	118	2.5	2.0	2.9
Age group	<55	1533	67	4.4	61	91.0	29	1.9	1.2	2.6	50	3.3	2.4	4.2
	55-64	3246	119	3.7	101	84.9	69	2.1	1.6	2.6	100	3.1	2.5	3.7
	65–74	3172	71	2.2	62	87.3	35	1.1	0.7	1.5	60	1.9	1.4	2.4
	75-84	1554	35	2.3	33	94.3	18	1.2	0.6	1.7	28	1.8	1.1	2.5
	85+	204	2	1.0	1	50.0	0	0.0	0.0	0.0	2	1.0	0.0	2.3

Early loosening of the tibia and the femur were responsible for 47% of the revisions within 24 months after index surgery (Table 48). Pain was the second most common reason for revision in 18.9% of cases. Compared to total knee arthroplasty, revision for prosthetic infection was less common in partial knee arthroplasty (8.6% versus 16.7%).

## Table 48

### Reason for first revision of partial knee arthroplasty

Multiple reasons are possible per patient. The reasons for revision categories as listed below are only available from 2015 onwards.

	2015-2016		
	Ν	%	
Loosening tibia	54	30.9	
Pain	33	18.9	
Loosening femur	28	16	
Progression of unicomp. OA	24	13.7	
Infection	15	8.6	
Femorotibial instability	13	7.4	
Patella problems	8	4.6	
Periprosthetic fracture tibia	6	3.4	
Wear of inlay	6	3.4	
Component malposition tibia	6	3.4	
Joint stiffness/Arthrofibrosis	3	1.7	
Loosening patella	2	1.1	
Component malposition femur	1	0.6	
Sizing tibial component	1	0.6	
Sizing femoral component	1	0.6	
Other	28	16	

## 8. Participating hospitals

Asana Gruppe AG, Spital Menziken Asana Gruppe, Spital Leuggern Berit Klinik, Speicher Center da Sandà, Engiadina Bassa CSEB, Scuol Centre Hospitalier Universitaire Vaudois CHUV, Lausanne CIC Groupe Santé SA, Clinique CIC Riviera Centre, Clarens CIC Groupe Santé SA, Valais, Saxon Clinica Luganese SA, Lugano Clinica Santa Chiara SA, Locarno Clinique de la Source, Lausanne Clinique des Grangettes SA, Chêne-Bougeries Clinique Générale Beaulieu, Genève EHC, Hôpital de Morges eHnv, Hôpital St-Loup, Pompaples eHnv, Hôpital Yverdon-les-Bains EOC, Ospedale regionale di Bellinzona (San Giovanni) EOC, Ospedale regionale di Locarno (La Carità) EOC, Ospedale regionale di Lugano (Civico e Italiano) EOC, Ospedale regionale di Mendrisio (Beata Vergine) Flury Stiftung, Spital Schiers Gesundheitszentrum Fricktal AG, Spital Laufenburg Gesundheitszentrum Fricktal AG, Spital Rheinfelden Groupement Hospitalier de l'Ouest Lémanique GHOL, Nyon GZO AG Spital Wetzikon Hirslanden AndreasKlinik Cham, Zug Hirslanden Bern AG, Klinik Beau-Site, Bern Hirslanden Bern AG, Klinik Permanence, Bern Hirslanden Bern AG, Klinik Salem, Bern Hirslanden Clinique La Colline SA, Genève Hirslanden Klinik Aarau Hirslanden Klinik am Rosenberg, Heiden Hirslanden Klinik Belair, Schaffhausen Hirslanden Klinik im Park, Zürich Hirslanden Klinik St. Anna AG, Luzern Hirslanden Klinik St. Anna AG, Meggen Hirslanden Klinik Stephanshorn, St. Gallen Hirslanden Lausanne SA, Clinique Bois-Cerf, Lausanne Hirslanden Klinik Birshof AG, Münchenstein Hôpital du Jura bernois SA, Site de Moutier Hôpital du Jura bernois SA, Site de Saint-Imier Hôpital du Jura, Site de Delémont

Hôpital du Pays-d'Enhaut, Château-d'Oex Hôpital du Valais (RSV), Martigny (no Data until now) Hôpital du Valais (RSV), Sion (no Data until now) Hôpital du Valais SZO, Spital Brig Hôpital du Valais SZO, Spital Visp Hôpital fribourgeois HFR, Hôpital cantonal, Fribourg Hôpital fribourgeois HFR, Site de Riaz Hôpital fribourgeois HFR, Site de Tafers Hôpital intercantonal de la Broye HIB, Payerne Hôpital neuchâtelois HNE, Site de la Chaux-de-Fonds Hôpital neuchâtelois HNE, Site de Pourtalès, Neuchâtel Hôpital Riviera Chablais, Site de Monthey Hôpital Riviera Chablais, Site de Montreux Hôpital Riviera Chablais, Site de Vevey Hôpitaux Universitaires de Genève (HUG) Insel Gruppe AG, Inselspital, Bern Inselgruppe AG, Spital Aarberg Inselgruppe AG, Spital Münsingen Inselgruppe AG, Spital Riggisberg Inselgruppe AG, Spital Tiefenau, Bern Kantonales Spital und Pflegeheim Appenzell Kantonsspital Aarau AG Kantonsspital Baden AG Kantonsspital Baselland, Standort Bruderholz Kantonsspital Baselland, Standort Laufen Kantonsspital Baselland, Standort Liestal Kantonsspital Glarus AG Kantonsspital Graubünden, Chur Kantonsspital Nidwalden, Stans Kantonsspital Obwalden, Sarnen Kantonsspital St. Gallen, Spital Flawil Kantonsspital St. Gallen, Spital Rorschach Kantonsspital St. Gallen, Standort St. Gallen Kantonsspital Uri, Altdorf Kantonsspital Winterthur Klinik Gut, Fläsch Klinik Gut, St. Moritz Klinik Hirslanden Zürich Klinik Hohmad, Thun Klinik Pyramide am See AG, Zürich Klinik Seeschau AG, Kreuzlingen

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